

**UNIVERSIDADE FEDERAL DE SÃO CARLOS**

**PÓS-GRADUAÇÃO EM GENÉTICA EVOLUTIVA**

**E BIOLOGIA MOLECULAR**

**Evolução cromossômica na família Lebiasinidae (Teleostei, Characiformes), com  
enfoque em espécies do gênero *Lebiasina***

Francisco de Menezes Cavalcante Sassi

São Carlos – SP, Brasil

**2020**

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Dissertação de Mestrado apresentada ao programa de Pós-Graduação em Genética Evolutiva e Biologia Molecular do Centro de Ciências Biológicas e da Saúde da Universidade Federal de São Carlos, como parte dos requisitos para obtenção do título de Mestre em Ciências (Ciências Biológicas).

Área de Concentração: Genética e Evolução.

Orientador: **Prof. Dr. Marcelo de Bello Cioffi**

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**2020**



## UNIVERSIDADE FEDERAL DE SÃO CARLOS

Centro de Ciências Biológicas e da Saúde  
Programa de Pós-Graduação em Genética Evolutiva e Biologia Molecular

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### Folha de Aprovação

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Assinaturas dos membros da comissão examinadora que avaliou e aprovou a Defesa de Dissertação de Mestrado do candidato Francisco de Menezes Cavalcante Sassi, realizada em 06/02/2020:

A handwritten signature in blue ink, appearing to read "M. Bello Cioffi".

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Profa. Dra. Karine Frehner Kavalco  
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Prófa. Dra. Mara Cristina de Almeida  
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## **Identificação Bibliográfica**

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*“Em nosso tempo, temos assistido à emergência de um modelo diferente de ciência, caracterizado pela dependência de grandes recursos financeiros, a privatização e o sigilo da pesquisa, a mercantilização dos resultados, a burocratização das instituições e a instrumentalização da ciência, submetendo-a a interesses extra-científicos (...) Se opor a esta tendência é necessário para rejuvenescer o ethos da ciência, retornando aos princípios mertonianos, mas ao mesmo tempo, reformulando-os, de modo mais adequado para as atuais estruturas institucionais da ciência pós-acadêmica.”*

**Piotr Sztompka**

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## RESUMO

A família Lebiasinidae é composta por sete gêneros de pequenos peixes de água doce, sendo encontrados em pequenos riachos da América Central e do Sul. Os membros desta família apresentam uma grande diversidade de formas corpóreas e coloração, mas suas relações evolutivas se mantêm inexplicadas. Nossa objetivo é realizar as primeiras análises citogenéticas em duas espécies do gênero *Lebiasina*, permitindo investigações acerca das características cariotípicas e de sua evolução cromossômica. Para isso, *Lebiasina bimaculata* e *L. melanoguttata* apresentaram  $2n = 36$  cromossomos em seu cariótipo, exclusivamente composto por cromossomos meta/submetacêntricos. A heterocromatina C-positiva se localizou nas regiões centroméricas e teloméricas de diversos cromossomos em ambas as espécies. Em *L. melanoguttata*, uma série intersticial de heterocromatina C-positiva é observada, sendo ausente em *L. bimaculata*. O rDNA 5S se encontra na região intersticial do braço q do primeiro par cromossômico em ambas as espécies, com um sítio adicional na região telomérica do cromossomo 13 em *L. melanoguttata*. O rDNA 18S está localizado na região telomérica do terceiro par em *L. bimaculata*, enquanto *L. melanoguttata* apresenta múltiplas marcações localizadas na região telomérica dos braços longos dos pares 1 e 3, na região telomérica do braço curto dos pares 7 e 9 e em ambos os telômeros do segundo par cromossômico. A pintura cromossômica total com sonda do primeiro par cromossômico revelou o compartilhamento total do conteúdo genômico deste par entre ambas as espécies de *Lebiasina* e *Boulengerella* (Ctenoluciidae), evidenciando o relacionamento próximo destas famílias. Adicionalmente, a hibridização genômica comparativa entre as duas espécies de *Lebiasina* revelou um alto grau de diferenciação genômica entre elas. Outro experimento de hibridização genômica comparativa revelou uma região telomérica sexo-específica no terceiro par cromossômico de fêmeas de *L. bimaculata*. Este resultado, assim como o padrão diferencial de bandas C-positivas, coloração diferencial CMA3+ e a hibridização fluorescente com o microssatélite

$(CGG)_n$  indica duas possibilidades i) a presença de uma variação de número de cópias, gerando diferenças entre os pares homólogos, ou ii) um sistema cromossômico sexual nascente do tipo ZZ/ZW. Além disso, é possível observar duas tendências na evolução cromossômica da família Lebiasinidae, sendo a primeira responsável por uma provável conservação do número diploide em Lebiasininae e, contrariamente, a tendência de acrocentrização em Pyrrhulininae.

## ABSTRACT

The Lebiasinidae family is composed by seven genus of small freshwater fishes that can be found in small rivers of Central and South America. Members of this family presents a great diversity in form and body color, but their evolutionary relationships are still unclear. Our objective was to realize the first cytogenetical analysis in two species of *Lebiasina* genus, aiming to clarify their karyotypic characteristics and their chromosomal evolution. For this, *Lebiasina bimaculata* and *L. melanoguttata* presents  $2n = 36$  as their diploid number, exclusively composed by bi-armed chromosomes. The C-positive heterochromatin was located in centromeric and telomeric regions of several chromosomes in both species. But, in *L. melanoguttata* there is a remarkable interstitial series of c-positive heterochromatin, absent in *L. bimaculata*. The rDNA 5S is located in the interstitial region on q-arm of the first chromosomal pair in both species, with an additional site on telomeric region of 13 chromosome of *L. melanoguttata*. The 18S rDNA can be found in telomeric region of the third pair in *L. bimaculata*, while *L. melanoguttata* presents multiple marks located in telomeric region on long arm of pairs 1 and 3, in telomeric region on short arm of 7 and 9 pairs and in both regions of the second chromosomal pair. The whole chromosome painting with a probe from the first chromosomal pair showed a share of genomic content between the two *Lebiasina* species and *Boulengerella* (Ctenoluciidae), evidencing the close relationship between this two families. Additionally, the comparative genomic hybridization among the two *Lebiasina* species reveals a high level of genomic differentiation amid them. Another experiment of comparative genomic hybridization reveals a telomeric sex-specific region on the third chromosomal pair of *L. bimaculata* females. This result with the differential pattern of c-banding, CMA3+ differential stain and the fluorescent in situ hybridization with the microsatellite probe (CGG)<sub>n</sub> indicates two possibilities (i) the presence of a copy number variation process, generating differences between the homologous pair, or (ii) a nascent sex chromosome system of ZZ/ZW type. Besides

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1    **I – INTRODUÇÃO**

2    **1.1 Peixes: diversidade e modelo para estudos evolutivos**

3           Os peixes compreendem o grupo mais diverso dentre os vertebrados viventes, com mais  
4           de 30 mil espécies atualmente descritas (Nelson *et al.*, 2016). No entanto, o grupo deve ser  
5           considerado parafilético, pois não inclui descendentes todos de um mesmo ancestral. Tal  
6           riqueza taxonômica encontra-se acompanhada de uma grande variedade de hábitos e habitats  
7           explorados por esse grupo. Essa diversidade de espécies fica ainda mais notável quando  
8           consideramos o ambiente de água doce, que abriga mais de 40% de toda as espécies de peixes  
9           (Nelson *et al.*, 2016), sendo 25% destas na região Neotropical (Vari & Malabarba, 1998),  
10          estendendo-se do sul dos Estados Unidos até o sul da Argentina.

11         Os peixes de água doce constituem um modelo importante para estudos evolutivos e  
12          biogeográficos, pois sua evolução pode ser intimamente associada à evolução geológica da  
13          Terra (Lundberg, 1993). Consequentemente, cada continente apresenta uma fauna particular de  
14          peixes de água doce, onde os padrões distintos de distribuição são decorrentes de barreiras  
15          físicas que interromperam a dispersão de muitas espécies antepassadas. A maioria das espécies  
16          de peixes ocorre nas regiões tropicais e subtropicais, com uma redução global da diversidade  
17          em regiões temperadas e polares (Berra, 2001). A África tropical, o sudeste asiático e a bacia  
18          do rio Amazonas se destacam pela riqueza de espécies (Lévêque *et al.* 2008, Nelson *et al.* 2016),  
19          enquanto a região da América Central é relativamente pobre em diversidade em decorrência de  
20          sua história geológica.

21         Grande parte da diversidade de peixes de água doce está incluída na ordem  
22          Characiformes (Nelson *et al.*, 2016), onde são encontrados grupos com baixa tolerância a água  
23          salgada e uma notável variação morfológica, o que os torna particularmente atraentes para  
24          estudos evolutivos. Essa ordem encontra-se dividida em duas subordens monofiléticas:  
25          Citharinoidei (peixes africanos, 110 espécies divididas em duas famílias) e Characoidei (mais

26 de 2 mil espécies distribuídas em 22 famílias, sendo apenas duas destas africanas) (Betancur-R  
27 *et al.*, 2018). A grande diversificação dos Characoidei é datada de antes da fragmentação final  
28 da Gondwana, sugerindo um papel preponderante das rotas de dispersão pós-fragmentação  
29 entre a África e a América do Sul (Chen *et al.*, 2013).

30 Tem sido sugerido que os peixes poderiam apresentar modificações genômicas mais  
31 rápidas do que aquelas que ocorrem em outros grupos de vertebrados (Venkatesh, 2003), o que  
32 poderia influenciar no grau de diversidade que apresentam. Além de poliploidizações, vários  
33 outros eventos, como duplicações gênicas independentes, ocorreram nesse grupo. De fato, há  
34 evidências substanciais de que um evento antigo de duplicação do genoma (tetraploidização)  
35 estaria relacionado com a diversificação de funções dos genes e especiação em várias linhagens  
36 (Zhang *et al.* 2002). O amplo espectro de sistemas de determinação do sexo encontrado nos  
37 peixes também ilustra a plasticidade de seus genomas, associado ao fato de que muitas espécies  
38 apresentam hermafroditismo, incluindo até mesmo mudança de sexo em um estágio específico  
39 de seu ciclo de vida (Ohno, 1967; Devlin & Nagahama, 2002).

40 Considerando que os peixes ocupam posição basal na filogenia dos vertebrados, estudos  
41 em espécies modelos contribuem para esclarecer diversas questões relacionadas com a  
42 organização do genoma e evolução dos vertebrados como um todo. No entanto, a enorme  
43 biodiversidade desse grupo ainda permanece em grande parte inexplorada. Neste cenário, os  
44 estudos citogenéticos vêm fornecendo contribuições importantes para o conhecimento do  
45 genoma dos peixes. Nas últimas duas décadas, uma quantidade significativa de dados tem sido  
46 gerada, incluindo o mapeamento de sequências de DNAs repetitivos nos cromossomos de  
47 diversas espécies (Cioffi & Bertollo, 2012). Tais sequências tem se revelado importantes na  
48 organização estrutural e funcional dos genomas (Schueler *et al.* 2001; Biémont & Vieira, 2006),  
49 além de proporcionar novos conhecimentos sobre processos evolutivos em muitas espécies de  
50 vertebrados.

51     **1.2 Bandamentos e marcadores cromossômicos**

52         A utilização de diversos marcadores cromossômicos tem se mostrado uma ferramenta  
53         essencial na caracterização da biodiversidade entre os peixes possibilitando, inclusive, a  
54         identificação de espécies crípticas (Moreira-Filho e Bertollo, 1991; Bertollo *et al.*, 2000; Cioffi  
55         *et al.*, 2012). A partir dos primeiros bandamentos cromossômicos permitindo a comparação de  
56         bandas para o pareamento correto dos cromossomos homólogos (Guerra, 1988), a citogenética  
57         molecular foi gradativamente aperfeiçoando suas metodologias propiciando atualmente  
58         diversos procedimentos para uma análise evolutiva mais elaborada. A seguir são consideradas  
59         algumas dessas possibilidades que vem sendo utilizadas entre os peixes.

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61     **1.2.1 Mapeamento de DNAs repetitivos e regiões heterocromáticas**

62         O bandamento C surgiu no início dos anos 1970 objetivando a identificação das regiões  
63         ricas em heterocromatina constitutiva, sendo descrita pela primeira vez por Sumner (1972).  
64         Essas regiões são geralmente compostas por diversas sequências de DNAs repetitivos e  
65         apresentam-se principalmente localizadas nas regiões pericentroméricas e teloméricas dos  
66         cromossomos (Charlesworth, 1994; Plohl *et al.*, 2008; López-Flores e Garrido-Ramos, 2012).  
67         A fração repetitiva do DNA compõe mais de 80% do genoma eucariótico, apresentando uma  
68         alta variabilidade (Gregory, 2005; Plohl *et al.*, 2008). Em espécies de peixes dos gêneros *Brycon*  
69         e *Leporinus*, a distribuição da heterocromatina constitutiva é relevante na diferenciação das  
70         espécies, visto que poucas variações na macroestrutura cariotípica são observadas (Galetti Jr.  
71         *et al.*, 1991; Margarido e Galleti Jr., 1999; Margarido e Galetti Jr., 2000). Além disso, esse tipo  
72         de bandamento possibilita a identificação de sistemas cromossômicos sexuais, como observado  
73         em *Hoplias malabaricus* (Bertollo *et al.*, 1997) e *Hypostomus aff. ancistroides* (Rocha-Reis *et*  
74         *al.*, 2018).

75 Adicionalmente, a localização cromossômica dos genes ribossomais tem também  
76 possibilitado elucidar diversos processos relacionados à evolução cromossônica entre os peixes  
77 (Gornung, 2013; Rebordinos *et al.*, 2013). Os genes ribossomais dão origem ao RNA  
78 ribossômico, participando ativamente na síntese proteica, sendo estes expressos por duas  
79 famílias multigênicas: o rDNA 45S e o rDNA 5S. No segmento de rDNA 45S encontram-se  
80 codificados os rDNAs 28S, 5,8S e 18S, separados por duas regiões conhecidas como  
81 espaçadores internos transcritos (ITS1 e ITS2), além de dois espaçadores externos também  
82 transcritos (ETS1 e ETS2) e um espaçador não transcrito (NTS). Já o rDNA 5S encontra-se  
83 organizado por uma série de repetições, separadas por NTS, podendo ser também encontrado  
84 na forma de pseudogenes dispersos nos cromossomos (Lafontaine e Tollervey, 2001).

85 A detecção das chamadas regiões organizadoras de nucléolos (NORs) foi,  
86 primordialmente, realizada a partir da impregnação de nitrato de Prata nos cromossomos (Ag-  
87 NOR), conforme proposta de Howell e Black (1980). Dada que a região organizadora de  
88 nucléolos é composta por DNAs ribossomais (genes ribossomais 45S e proteínas acessórias) a  
89 técnica Ag-NOR foi utilizada, por muitos anos, como a principal forma de se detectar essa  
90 família de DNA ribosomal. No entanto, com o advento da citogenética molecular,  
91 particularmente a hibridização fluorescente *in situ* (FISH), a posição real dos genes ribossomais,  
92 assim como o seu número de cópias, puderam ser mais bem investigadas. A partir do  
93 surgimento da FISH e do desenvolvimento de sondas de rDNA, o mapeamento desses genes  
94 nos cromossomos tornou-se a primeira opção dos citogeneticistas para a caracterização da  
95 biodiversidade, sendo também utilizados em estudos de sistemática (Sochorová *et al.*, 2018).  
96 Apesar da alta conservação das sequências ribossomais e de sua evolução em concerto  
97 (processo que mantém a funcionalidade e homogeneidade das sequências), os rDNAs tendem  
98 a mudar em número de cópias e em posição nos cromossomos (Zimmer *et al.*, 1981; Dover,  
99 1982; Schubert e Wobus, 1985; Dubcovsky e Dvorak, 1995; Averbeck e Eickbush, 2005; Roy

100 *et al.*, 2005; McTaggart *et al.*, 2007; Wang *et al.*, 2017; Sochorová *et al.*, 2018), o que os torna  
101 extremamente atraentes para estudos evolutivos.

102       Além das sequências ribossomais, os microssatélites também se destacam entre os  
103 DNAs repetitivos, sendo constituídos por pequenas sequências repetidas em tandem, com  
104 unidades de repetição de até seis nucleotídeos, encontrados em todos os eucariotos (López-  
105 Flores e Garrido Ramos, 2012; Cioffi e Bertollo, 2012). Este tipo de DNA pode se agrupar em  
106 longas repetições, constituídas por centenas a milhares de unidades, também se associando com  
107 a heterocromatina de diversas espécies (Martins, 2007; Cioffi *et al.*, 2011). Em peixes, tais  
108 sequências podem ser localizadas comumente nas regiões teloméricas, centroméricas e nos  
109 cromossomos sexuais, agrupadas a outras sequências repetitivas (Cioffi e Bertollo, 2012).

110       Por fim, as sequências teloméricas também se encontram entre aquelas que provêm  
111 informações importantes sobre a evolução cromossômica. Tais sequências são ricas em guanina  
112 ( $\text{TTAGGG}$ )<sub>n</sub> e desempenham um papel fundamental na sobrevivência dos organismos,  
113 estabilizando os cromossomos e permitindo a replicação completa das suas regiões terminais  
114 (Blackburn, 1994). Nos eventos de fusão cromossônica restos de regiões teloméricas podem  
115 ser encontradas na região onde a fusão ocorreu, conhecidos como sítios teloméricos intersticiais  
116 (ITS) (Meyne *et al.*, 1990). No entanto, nem sempre os ITS podem ser detectados a partir de  
117 um processo de fusão, provavelmente pela perda de tais regiões durante o rearranjo (Schubert  
118 *et al.*, 1992).

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## 120 **1.2.2 Hibridização genômica comparativa (CGH) e Pintura cromossômica (WCP)**

121       A hibridização genômica comparativa (CGH), utilizando sondas de genomas inteiros,  
122 possibilita a comparação de regiões compartilhadas ou que apresentam ganho ou perda de  
123 sequências de DNA entre as espécies analisadas, bem como a variação no número de cópias de  
124 uma sequência genômica específica. Também desenvolvida inicialmente com enfoque clínico

125 (Kallioniemi *et al.*, 1992), a técnica CGH expandiu suas aplicações, tornando-se uma  
126 ferramenta valiosa nos estudos evolutivos, com particular interesse na detecção de poliploidias  
127 e hibridizações (Chester *et al.*, 2010). Tornou-se também de utilidade nas pesquisas de  
128 cromossomos sexuais, permitindo a identificação de homologias entre sistemas, conforme  
129 demonstrado no gênero *Triportheus* (Yano *et al.*, 2016). Entre os peixes tal procedimento vem  
130 sendo cada vez mais utilizado, conforme demonstrado pela quantidade de trabalhos publicados  
131 nos últimos anos utilizando tal abordagem (Oliveira e Sember *et al.*, 2017; Freitas *et al.* 2017;  
132 Moraes *et al.*, 2017; 2019; Toma *et al.*, 2019; Oliveira *et al.*, 2019), ressaltando a relevância de  
133 metodologias resolutivas para o avanço da ciência.

134 Apesar da possibilidade do mapeamento de diversos genes por FISH, o surgimento da  
135 pintura cromossômica total (WCP), no final da década de 1990, veio dar um impulso adicional  
136 nas abordagens citogenéticas. Esta metodologia surgiu com um enfoque predominantemente  
137 clínico, voltado para a detecção de rearranjos cromossômicos envolvidos principalmente com  
138 o câncer (Blough *et al.*, 1998), utilizando sondas derivadas de cromossomos inteiros (whole  
139 chromosome painting) no processo de hibridização. Adicionalmente, as sondas para a pintura  
140 podem ser também construídas a partir de segmentos cromossômicos (PCP – partial  
141 chromosome paint) fazendo com que diversos loci genômicos possam ser hibridizados.

142 No entanto, a pintura cromossômica passou a ser também aplicada para estudos  
143 evolutivos comparando a hibridização de sondas cromossômicas entre espécies relacionadas,  
144 num processo conhecido como cross-FISH ou Zoo-FISH (Yang *et al.*, 2009). A obtenção de  
145 cromossomos para a construção das sondas pode ser realizada por microdissecção ou por  
146 citometria de fluxo, seguido pela amplificação por primers degenerados (DOP-PCR) (Yang *et*  
147 *al.*, 2009). Em peixes, tal técnica começou a ser amplamente utilizada a partir da década de  
148 2010, permitindo estudos sobre origem e evolução de cromossomos sexuais e autossômicos em

149 diversas espécies (Nagamashi *et al.*, 2010; Cioffi *et al.*, 2013; Yano *et al.*, 2016; Freitas *et al.*,  
150 2017; Oliveira e Sember, 2017; Moraes *et al.*, 2017; Barby *et al.*, 2019; Moraes *et al.*, 2019).

151

152 **1.3 A família Lebiasinidae, com enfoque no gênero *Lebiasina***

153 Endêmicos da região Neotropical, os peixes da família Lebiasinidae são encontrados em  
154 pequenos riachos da América Central (Costa Rica e Panamá) e América do Sul, exceto o Chile  
155 (Fricke *et al.*, 2019). São englobados em duas subfamílias, Lebiasininae e Pyrrhulininae,  
156 apresentando grande variação de formatos corporais e coloração, o que os tornam  
157 particularmente atrativos para a aquariofilia (Weitzman & Weitzman, 2003). Diversos  
158 representantes dessa família apresentam-se miniaturizados (Weitzman e Vari, 1988), com um  
159 tamanho corpóreo bastante reduzido, como por exemplo *Nannostomus anduzei* com 1,6 cm de  
160 comprimento. Além das características de tamanho, grande parte dos Lebiasinidae apresenta  
161 dimorfismo sexual visível a olho nu como, por exemplo, a presença de tubérculos nupciais em  
162 *Lebiasina* (Netto-Ferreira, 2006).

163 A família Lebiasinidae foi previamente correlacionada com alguns outros grupos da  
164 ictiofauna Neotropical como, por exemplo, Erythrinidae, Ctenoluciidae e Hepsetidae, em  
165 decorrência de seus caracteres morfológicos (Oyakawa, 1997; Buckup, 1998). Posteriormente,  
166 análises filogenéticas propuseram Erythrinidae e Hepsetidae como grupos irmãos, assim como  
167 Lebiasinidae e Serrasalmidae (Orti & Meyer, 1997). Mais tarde, foi proposto que Erythrinidae  
168 e Crenuchidae seriam famílias relacionadas entre si, enquanto Ctenoluciidae seria mais  
169 relacionada com Lebiasinidae (Calcagnotto *et al.*, 2005). Utilizando dados filogenéticos  
170 moleculares, Oliveira *et al.* (2011) sugeriram que muitas das sinapomorfias morfológicas entre  
171 Erythrinidae, Ctenoluciidae, Hepsetidae e Lebiasinidae poderiam corresponder apenas a  
172 convergências associadas a modificações para o estilo de vida predatório desses grupos, mas  
173 apoiando uma provável proximidade entre Ctenolucidae e Lebiasinidae. Concordantemente, tal

174 proximidade foi corroborada pelos estudos moleculares de Arcila *et al.* (2017) e Betancur-R *et*  
175 *al.* (2018).

176 O gênero *Lebiasina* apresenta 18 espécies válidas (Fricke *et al.*, 2019) (**Tabela 1**),  
177 distribuídas pelo escudo Andino e Guianas, além de três espécies (*L. marilynae*, *L.*  
178 *melanoguttata* e *L. minuta*) que são encontradas na Serra do Cachimbo – PA, Brasil. Esse  
179 gênero é considerado o mais basal dentre os Lebiasinidae e pode ser diagnosticado pela ausência  
180 de nadadeira adiposa e a presença de alvéolos na porção anterior da câmara posterior da bexiga  
181 natatória (Netto-Ferreira, 2010). Espécies intermediárias entre *Lebiasina* e *Piabucina* podem  
182 ser encontradas como, por exemplo, *P. boruca* e *P. festae*, questionando assim a validade do  
183 gênero *Piabucina*. Neste sentido, tem sido proposta a sinonimização desses dois gêneros (Géry,  
184 1977; Taphorn, 1992; Géry e Zarske, 2002; Netto-Ferreira, 2010; Netto-Ferreira, 2012).  
185 Contudo, apesar das divergências taxonômicas, o monofiletismo da subfamília Lebiasininae é  
186 corroborado por nove sinapormofias morfológicas (Netto-Ferreira, 2006).

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199 **Tabela 1.** Espécies válidas do gênero *Lebiasina*, conforme Fricke *et al.* (2019).  
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**Gênero *Lebiasina***

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- Lebiasina bimaculata* Valenciennes, 1847  
*Lebiasina multimaculata* Boulenger, 1911  
*Lebiasina intermedia* Meinken, 1936  
*Lebiasina uruyensis* Fernández-Yépez, 1967  
*Lebiasina floridablancaensis* Ardila Rodríguez, 1994  
*Lebiasina provenzanoi* Ardila Rodríguez, 1999  
*Lebiasina yuruaniensis* Ardila Rodríguez, 2000  
*Lebiasina chucuriensis* Ardila Rodríguez, 2001  
*Lebiasina narinensis* Ardila Rodríguez, 2002  
*Lebiasina taphorni* Ardila Rodríguez, 2004  
*Lebiasina colombia* Ardila Rodríguez, 2008  
*Lebiasina ortegai* Ardila Rodríguez, 2008  
*Lebiasina choocoensis* Ardila Rodríguez, 2010  
*Lebiasina yepezi* Netto-Ferreira, Oyakawa, Zuanon & Nolasco, 2011  
*Lebiasina marilynae* Netto-Ferreira, 2012  
*Lebiasina melanoguttata* Netto-Ferreira, 2012  
*Lebiasina minuta* Netto-Ferreira, 2012  
*Lebiasina ardilai* Netto-Ferreira, Lopez-Fernandez, Taphorn & Liverpool, 2013
- 

201

202 **1.4 Estudos cromossômicos na família Lebiasinidae**

203       Estudos citogenéticos têm fornecido contribuições relevantes na caracterização da  
204       biodiversidade ictiofaunística (Cioffi *et al.*, 2018). Enquanto muitas famílias evidenciam-se  
205       mais conservadas no tocante a organização de seus cariótipos, como por exemplo Parodontidae,  
206       Anostomidae e Prochilodontidae, outras se mostram altamente diversificadas, a exemplo de  
207       Erythrinidae e Characidae. Tal diversidade se manifesta pela ocorrência de diversos complexos  
208       de espécies onde distintos cariomorfos evidenciam ausência de fluxo gênico, mesmo quando  
209       em condições de simpatria e sintopia (Moreira-Filho & Bertollo, 1991; Bertollo *et al.*, 2000;  
210       Bertollo, 2007; Cioffi *et al.*, 2012; Bertollo *et al.*, 2018).

211       Contudo, a família Lebiasinidae permanece muito pouco estudada do ponto de vista  
212       citogenético, sendo os dados existentes predominantemente restritos à caracterização do  
213       número diploide de algumas espécies (Scheel, 1973; Arai, 2011), com algumas poucas

214 investigações mais pormenorizadas (Arefjev, 1990; Oliveira *et al.*, 1991; Moraes *et al.*, 2017;  
215 Moraes *et al.*, 2019; Toma *et al.*, 2019; Sassi *et al.* 2019). Apesar dessa carência investigativa,  
216 os dados existentes apontam para uma diversidade de números cromossômicos entre as  
217 espécies, variando de  $2n = 22$  em *Nannostomus unifasciatus* a  $2n = 46$  em *N. trifasciatus* (Arai,  
218 2011). Entretanto, é possível que também ocorram problemas de identificação para várias das  
219 espécies examinadas, considerando diferentes números cromossômicos relacionados a uma  
220 mesma espécie nominal (**Tabela 2**). Rearranjos Robertsonianos se apresentam como um dos  
221 prováveis fatores geradores dessa diferenciação cariotípica, como sugerido pelo número  
222 cromossômico mais reduzido e a ocorrência de grandes cromossomos metacêntricos em *N.*  
223 *unifasciatus* (Arefjev, 1990).

224 Recentemente, análises investigativas mais aprofundadas começaram a ser  
225 implementadas na família Lebiasinidae, abrindo novas perspectivas para a citogenética  
226 evolutiva desse grupo (Moraes *et al.*, 2017). Duas espécies de *Pyrrhulina* (*P. australis* e *P. aff.*  
227 *australis*) foram investigadas por procedimentos da citogenética convencional e molecular,  
228 incluindo o mapeamento de DNAs repetitivos, hibridização genômica comparativa (CGH) e  
229 pintura cromossômica total (WCP). As duas espécies mostraram possuir o mesmo número  
230 diploide ( $2n = 40$ ), assim como a mesma fórmula cariotípica (4st + 36a), sem diferenciações  
231 entre os sexos. Contudo, ambas exibem diferenciações genômicas acentuadas, evidenciando a  
232 ocorrência de unidades evolutivas distintas (Moraes *et al.*, 2017). Por sua vez, apesar das  
233 especificidades inerentes a cada grupo, algumas correlações quanto à estrutura cariotípica e  
234 distribuição de classes de DNAs repetitivos foram evidenciadas entre *Pyrrhulina* e *Erythrinus*  
235 *erythrinus* (Erythrinidae) (Moraes *et al.*, 2017), apontando marcadores promissores para as  
236 análises de relacionamentos entre grupos da nossa ictiofauna.

237

238 **Tabela 2.** Dados citogenéticos de espécies da família Lebiasinidae. Tabela publicada em  
 239 Sassi *et al.* (2019) e atualizada em Toma *et al.* (2019).

Espécies	2n (sexo)	Cariótipo	Referência
<b><i>Copeina</i></b>			
<i>C. guttata</i>	42 (?)	-	Scheell, 1973
<i>C. guttata</i>	42♂♀	2m+4sm+36st/a	Toma <i>et al.</i> , 2019
<b><i>Copella</i></b>			
<i>C. arnoldi</i>	44 (?)	-	Scheell, 1973
<i>C. nattereri</i>	36 (?)	-	Scheell, 1973
<i>Copella</i> sp.	26 (?)	-	Scheell, 1973
<i>Copella</i> sp.	24 (?)	-	Scheell, 1973
<b><i>Nannostomus</i></b>			
<i>N. beckfordi</i> (A)	42 ♂	2m+40a	Arefjev, 1990
<i>N. beckfordi</i> (B)	44 (?)	-	Scheell, 1973
<i>N. beckfordi</i> (C)	36 (?)	-	Scheell, 1973
<i>N. eques</i> (A)	34 (?)	34a	Arefjev, 1990
<i>N. eques</i> (B)	36 (?)	-	Scheell, 1973
<i>N. arrisoni</i>	40 (?)	-	Scheell, 1973
<i>N. marginatus</i>	42 (?)	-	Scheell, 1973
<i>N. trifasciatus</i> (A)	46 (?)	-	Scheell, 1973
<i>N. trifasciatus</i> (B)	38 (?)	-	Scheell, 1973
<i>N. trifasciatus</i> (C)	30 (?)	-	Scheell, 1973
<i>N. trifasciatus</i> (D)	24 (?)	-	Scheell, 1973
<i>N. unifasciatus</i>	22 (?)	-	Scheell, 1973
<b><i>Pyrrhulina</i></b>			
<i>Pyrrhulina</i> cf. <i>australis</i>	40♂♀	6st+34a	Oliveira <i>et al.</i> , 1991
<i>Pyrrhulina</i> sp.	42 (?)	2m+2sm+38st/a	Oliveira <i>et al.</i> , 1992
<i>P. australis</i>	40♂♀	4st+36a	Moraes <i>et al.</i> , 2017
<i>Pyrrhulina</i> cf. <i>australis</i>	40♂♀	4st+36a	Moraes <i>et al.</i> , 2017
<i>P. brevis</i>	42♂♀	2sm + 4st + 36a	Moraes <i>et al.</i> , 2019
<i>P. semifasciata</i>	41♂42♀	1m + 4st + 36a ♂ 4st + 38a ♀	Moraes <i>et al.</i> , 2019

240

241 Adicionalmente, duas outras espécies de *Pyrrhulina*, *Pyrrhulina brevis* e *P.*  
 242 *semifasciata*, foram também analisadas por diferentes procedimentos da citogenética clássica e  
 243 molecular (Moraes *et al.*, 2019). Neste caso, enquanto que *P. brevis* evidenciou 2n = 42  
 244 cromossomos (2sm + 4st + 36a) em ambos os sexos, *P. semifasciata* apresentou 2n = 42  
 245 cromossomos nas fêmeas (4st + 38a) e 2n=41 cromossomos nos machos (1m + 4st + 36a) em

246 virtude da ocorrência de um sistema sexual do tipo  $X_1X_1X_2X_2/X_1X_2Y$ . Até então esta é a  
247 primeira evidência de um sistema de cromossomos sexuais diferenciado para a família,  
248 originado pela fusão cêntrica entre dois cromossomos acrocêntricos não-homólogos nessa  
249 espécie (Moraes *et al.*, 2019).

250 Além dessas abordagens no gênero *Pyrrhulina*, outros grupos representativos da família  
251 Lebiasinidae, como espécies de *Lebiasina* (presente estudo) e de *Copeina* (Toma *et al.*, 2019),  
252 tem sido objeto de estudos citogenéticos. Em *Copeina guttata*, o número diploide encontrado  
253 foi de 42 cromossomos (2m + 4sm + 36 st-a), reforçando a tendência evolutiva divergente entre  
254 as espécies de Lebiasinidae. Além disso, os experimentos de hibridização genômica  
255 comparativa revelaram o compartilhamento moderado de algumas poucas regiões repetitivas  
256 entre *Copeina*, *Lebiasina* e *Pyrrhulina*, exceto para uma forte hibridização comum entre os  
257 sítios de rDNA 18S (Toma *et al.*, 2019).

258 Assim sendo, apesar das dificuldades técnicas gradativamente superadas para a  
259 obtenção de boas preparações cromossômicas entre os Lebiasinidae, o uso de marcadores da  
260 citogenética clássica e molecular têm propiciado avanços recentes para a caracterização  
261 cariotípica e o entendimento da evolução cromossômica nessa família.

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271     **II - JUSTIFICATIVA E OBJETIVOS**

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273       Lebiasinidae situa-se entre as muitas famílias de peixes que ainda carecem de  
274       investigações citogenéticas resolutivas que possam evidenciar e esclarecer seus processos  
275       evolutivos, bem como inter-relações com outros grupos da ictiofauna. Vencidas as primeiras  
276       dificuldades em se obter boas preparações cromossômicas em espécies miniaturas, como é o  
277       caso de diversos representantes dessa família, análises recentes têm evidenciado que  
278       Lebiasinidae é um grupo extremamente promissor para investigações evolutivas intra- e inter-  
279       genéricas, assim como intra- e inter-familiares. De fato, as abordagens já disponíveis em relação  
280       à diversidade cariotípica numérica e estrutural, bem como genômica entre as espécies, suportam  
281       o interesse investigativo desse grupo. Embora suas relações com outros grupos de  
282       Characiformes sejam questões que ainda requerem esclarecimentos adicionais, filogenias  
283       moleculares recentemente obtidas apontam para sua proximidade com a família Ctenoluciidae.  
284       Esta família, investigada por Souza *et al.* (2017), evidencia o número diploide  $2n = 36$   
285       ( $14m+16sm+6st$ ) em todas as espécies analisadas (*Boulengerella cuvieri*, *B. lateristriga*, *B.*  
286       *lucius* e *B. maculata*), mas com padrão de distribuição da heterocromatina espécie-específico.  
287       Adicionalmente, outra espécie de Ctenoluciidae do gênero *Ctenolucius* também mantém o  
288       número diploide ( $2n = 36$ ), mas com fórmula cariotípica diferenciada ( $26m/sm+10st/a$ )  
289       (Arefjev, 1990). Assim sendo, tais subsídios abrem perspectivas promissoras para as  
290       investigações cromossômicas em espécies de Lebiasinidae, considerando também os avanços  
291       metodológicos incorporados na citogenética de peixes propiciando importantes marcadores  
292       cromossômicos para estudos evolutivos em níveis intra- e inter-familiares.

293       Neste sentido, a presente pesquisa teve como enfoque os seguintes objetivos:

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297     **2.1 Objetivo geral**

298         O presente estudo objetivou avançar no conhecimento dos processos evolutivos da  
299         família Lebiasinidae, tendo em vista suas relações cromossômicas em nível intra-genérico,  
300         intra-familiar e inter-familiares. Foram enfocadas espécies de *Lebiasina* desprovidas de  
301         informações cromossômicas, tendo em vista que esse gênero representa o grupo mais basal da  
302         família, possibilitando assim abordagens comparativas importantes do ponto de vista evolutivo.

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304     **2.2 Objetivos específicos**

305         Tendo em vista atingir o objetivo geral proposto para este estudo, os seguintes objetivos  
306         específicos foram priorizados:

307             2.2.1 – Caracterizar o cariótipo de machos e fêmeas de espécies de *Lebiasina* quanto ao  
308         número e a morfologia dos cromossomos, assim como a distribuição da heterocromatina C-  
309         positiva.

310             2.2.2 – Investigar a constituição genômica das espécies pela distribuição de sequências  
311         de DNAs repetitivos nos cromossomos, mapeamento citogenético dos DNAs ribossomais 5S e  
312         18S e de sequências microssatélites.

313             2.2.3 – Prospectar a ocorrência de cromossomos sexuais diferenciados nesse grupo, com  
314         base nos caracteres cromossômicos presentes em cada sexo e de marcadores específicos.

315             2.2.4 – Avaliar o compartilhamento de frações do genoma pela hibridização genômica  
316         comparativa (CGH) e experimentos de pintura cromossômica total (WCP) entre as espécies  
317         investigadas.

318             2.2.5 – Buscar subsídios, com bases nos marcadores obtidos, a respeito da proximidade  
319         evolutiva entre Lebiasinidae e Ctenoluciidae.

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323 **III – MATERIAL E MÉTODOS**

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325 **3.1 – Material**

326 No presente estudo, foi possível investigar duas espécies representativas do gênero  
327 *Lebiasina*, *L. melanoguttata* Netto-Ferreira, 2012 e *L. bimaculata* Valenciennes, 1847 (**Figura**  
328 **1**). A espécie *L. melanoguttata* é encontrada na região da Serra do Cachimbo, ao sul do Pará e  
329 norte do Mato Grosso, na bacia do rio Xingu, onde foi originalmente descrita. A coleta contou  
330 com autorização da agência de controle ambiental ICMBIO/SISBIO (Licença Nº 48628-2) e  
331 SISGEN (A96FF09). Já a espécie *L. bimaculata* é encontrada em rios do Equador e Peru, a  
332 oeste dos Andes, e na bacia do rio Marañon (Fricke *et al.*, 2019). Amostras dessa espécie,  
333 provenientes da bacia do rio Arenillas (Equador) (**Tabela 1** e **Figura 2**) foram gentilmente  
334 cedidas pelo Dr. Mauro Nirchio, docente na Universidad Técnica de Machal (Equador). Os  
335 animais foram depositados no Museu de Zoologia da Universidade de São Paulo (MZUSP),  
336 números de voucher 124457 e 124625.

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350 **Figura 1.** Espécies utilizadas no presente trabalho. a) *Lebiasina bimaculata* (Figura retirada de  
Netto-Ferreira, 2010). b) *Lebiasina melanoguttata* (acervo pessoal).

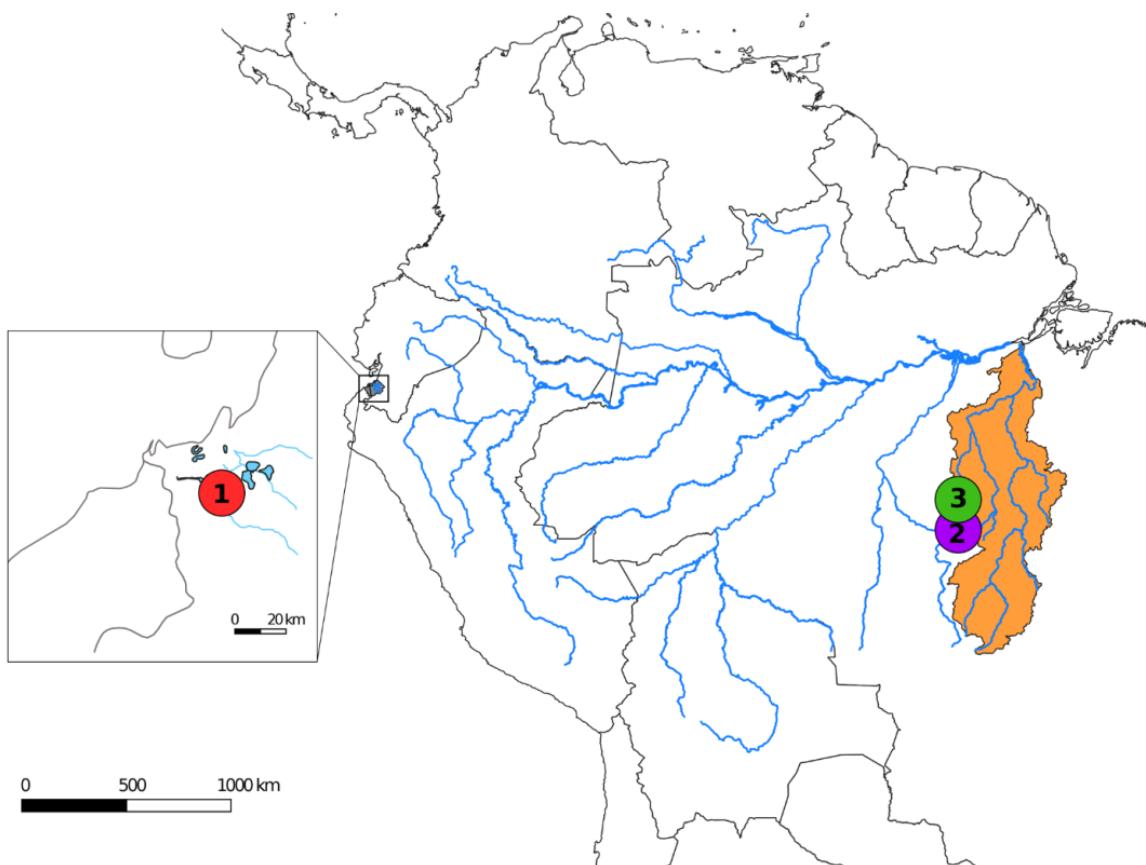
351

352 **Tabela 1.** Coordenadas geográficas dos pontos de coleta das espécies de *Lebiasina* analisadas  
 353 e número amostral (N).

Espécie	Local	N
<i>Lebiasina bimaculata</i>	Lagos do rio Arenillas – El Oro (Equador) (S03°30'57.204", O80°3'44.2656")	<b>04♂, 03♀</b>
<i>Lebiasina melanoguttata</i>	Altamira – PA (Brasil) (S08° 46' 59,4", O54°58'26,9")	<b>10♂, 04♀</b>
<i>Lebiasina melanoguttata</i>	Cachoeira da Serra – PA (Brasil) (S08°58'18,7", O54°58'18,7")	<b>04♂, 18♀</b>

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358 **Figura 2.** Mapa parcial da América do Sul, evidenciando os pontos de coleta de *Lebiasina*  
 359 *bimaculata* (1 – círculo vermelho) e *L. melanoguttata* (2 e 3 – círculos roxo e verde), destacando  
 360 a bacia do rio Xingu (em laranja). Figura organizada com o auxílio dos softwares QGIS Desktop  
 361 3.6.3, Inkscape 0.92 e Adobe Photoshop CC 2015.

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364     **3.2 Métodos**

365     **3.2.1 Preparação cromossômica, bandamento-C e coloração diferencial por Cromomicina**

366     **A<sub>3</sub>**

367         Os cromossomos mitóticos foram obtidos de acordo com Bertollo *et al.* (2015). Os  
368         animais foram previamente submetidos ao tratamento com Colchicina (1 ml de solução a  
369         0,005%/100 gramas de peso), por um período de 45 minutos. Após procedimentos anestésicos,  
370         foram extraídos fragmentos do rim anterior e posterior, procedendo-se a hipotonização celular  
371         em cloreto de Potássio (KCl) 0,075M durante 20 minutos a 36 °C, a fixação em álcool metílico  
372         (3 partes): ácido acético glacial (1 parte) e a preparação das lâminas, conforme a metodologia  
373         usual de “air drying”. A seguir, os cromossomos foram corados com Giemsa 5% (pH 6.8) por  
374         13 minutos. Todos os procedimentos seguiram as condutas éticas e de anestesia aprovadas pelo  
375         Comitê de Ética em Experimentação e Uso Animal da Universidade Federal de São Carlos  
376         (Processo CEUA 1853260315).

377         A identificação da heterocromatina C-positiva foi realizada pelo bandamento C,  
378         conforme Sumner (1972). O material foi tratado com ácido clorídrico (HCl) 0,2N a temperatura  
379         ambiente, por 15-20 minutos, seguindo-se a lavagem em água destilada e secagem ao ar.  
380         Posteriormente, as lâminas foram incubadas em uma solução de hidróxido de Bário (BaOH)  
381         5%, a 60 °C, por um período de 30-60 segundos, seguido por rápida imersão em HCl 0,2N,  
382         lavagem em água destilada e secagem ao ar. Por fim, as lâminas foram tratadas com uma  
383         solução 2xSSC a 60 °C por 30-60 minutos, lavadas em água destilada e coradas com iodeto de  
384         Propídio a 50 µg/ml (Lui *et al.*, 2012).

385         A coloração diferencial com Cromomicina A<sub>3</sub> (CMA<sub>3</sub>) e 4',6'-diamidino-2'-  
386         phenylindole (DAPI) foi utilizada para a detecção de regiões cromossômicas ricas em GC e  
387         AT, respectivamente, de acordo com Schmid (1980).

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389     **3.2.2 Hibridização fluorescente *in situ* (FISH) - mapeamento de DNAs repetitivos**

390         Foram utilizadas sondas dos DNAs ribossomais 5S e 18S, isoladas do genoma de  
391         *Hoplias malabaricus* (Characiformes, Erythrinidae), clonadas em vetores plasmidiais e  
392         propagadas em células competentes de *Escherichia coli* DH5 $\alpha$  (Invitrogen, San Diego, CA,  
393         USA). A sonda de rDNA 5S inclui 120 pares de base (pb) do gene transcriptor do rRNA 5S e  
394         200pb do espaçador não-transcrito (NTS - *Nontranscribed spacer*) (Martins *et al.*, 2006). A sonda  
395         de rDNA 18S corresponde a 1400pb do segmento desse gene obtido pela Reação de Polimerase  
396         em Cadeia (PCR –*Polymerase Chain Reaction*), a partir do DNA nuclear (Cioffi *et al.*, 2009).  
397         A marcação das sondas foi realizada com kit de Nick-Translation mix (Roche, Manheim,  
398         Alemanha), sendo a sonda 5S marcada com Spectrum Green-dUTP e a sonda 18S marcada com  
399         Spectrum Orange-dUTP (Vysis, Downers Grove, IL, USA), conforme as instruções do  
400         fabricante.

401         Além das famílias multigênicas acima descritas, foram utilizadas sondas de sequências  
402         repetitivas de pequeno tamanho, correspondendo aos microssatélites (CA)<sub>15</sub>, (GA)<sub>15</sub>, (CAT)<sub>10</sub>  
403         e (CGG)<sub>10</sub>. Essas sequências foram diretamente marcadas durante a síntese com Cy3, com  
404         exceção de (GA)<sub>15</sub>, cuja marcação se deu com Fluoresceína modificada com isocianato (FITC),  
405         segundo o protocolo descrito em Kubat *et al.* (2008). Adicionalmente, sondas de sequências  
406         teloméricas (TTAGGG)<sub>n</sub>, foram também empregadas (sonda DAKO Telomere PNA FISH  
407         Kit/FITC (DAKO, Glostrup, Dinamarca).

408         O procedimento de hibridização seguiu o protocolo descrito em Yano *et al.* (2017). As  
409         lâminas foram tratadas por 1h com 70 $\mu$ l da solução de RNase (1 $\mu$ l de RNase (10 $\mu$ g/ml) + 1ml  
410         2xSSC), em câmara úmida a 37 °C. Após este tempo, as lâminas foram lavadas em PBS 1x sob  
411         agitação por 5 min, seguindo-se tratamento com 50 $\mu$ l de solução de Pepsina (495 $\mu$ l de H<sub>2</sub>O  
412         miliq autoclavada + 5 $\mu$ l HCl 1M + 1,5  $\mu$ l Pepsina (20mg/ $\mu$ l)). Após nova lavagem das lâminas  
413         com PBS 1x, sob agitação por 5 minutos, procedeu-se rápida lavagem em série alcoólica, 70%,

414 85% e 100%, dois minutos em cada banho. Para a desnaturação dos cromossomos, as lâminas  
415 foram submetidas ao tratamento com Formamida a 72 °C por 3min e 15s, sucedendo-se  
416 lavagem em série alcoólica como no passo anterior, alterando apenas o álcool 70% que nesta  
417 etapa deve se encontrar gelado. O mix de hibridização conteve 2µl da sonda desejada mais 18µl  
418 de sulfato dextrano, sendo desnaturado em termociclador a 86 °C por 10min. Após, 20µl deste  
419 mix foi aplicado em cada lâmina, com incubação a 37 °C por aproximadamente 16h. Passado  
420 este tempo, as lâminas foram lavadas em 2xSSC e 1xSSC, ambos os procedimentos sob  
421 agitação por 5 min. Para as sondas com marcação indireta (rDNAs 5S e 18S) procedeu-se a  
422 detecção em solução contendo 995µl NFDM (*Non-Fat Dry Milk*) + 5µl de contracorante, sendo  
423 20µl de tal solução aplicada nas lâminas, seguido de incubação em câmara úmida por 1h a 37  
424 °C. As lâminas então foram lavadas em Tween, posteriormente em PBS 1x, desidratadas em  
425 série alcoólica e contra coradas com 15µl de DAPI + Antifading.

426

### 427 **3.2.3 Hibridização genômica comparativa**

428 Os DNAs genômicos (gDNAs) de *Lebiasina bimaculata*, *Lebiasina melanoguttata*,  
429 *Boulengerella lateristriga* (Ctenoluciidae), *Copella nattereri*, *Copeina guttata*, *Nannostomus*  
430 *beckfordi*, *N. eques*, *N. unifasciatus*, *N. marginatus*, *N. trifasciatus*, *Pyrrhulina australis*,  
431 *Pyrrhulina* aff. *australis*, *P. brevis* e *P. semifasciata* foram extraídos a partir do fígado,  
432 seguindo o método de fenol-clorofórmio-álcool isoamílico (Sambrook e Russell, 2001). Quatro  
433 ensaios experimentais foram considerados, com as hibridizações realizadas conforme o  
434 protocolo descrito em Symonová *et al* (2013). Os dois primeiros ensaios focaram em  
435 comparações intra-específicas entre machos e fêmeas de ambas as espécies de *Lebiasina*. Para  
436 isso, o gDNA de machos e fêmeas de *L. bimaculata* e *L. melanoguttata* foram marcados com  
437 Spectrum Orange-dUTP e Spectrum Green-dUTP, respectivamente, utilizando o Nick-  
438 Translation mix kit (Roche, Manheim, Alemanha) e hibridizados em cromossomos de machos

439 e fêmeas de cada espécie. Para o bloqueio de sequências repetitivas foi utilizado o C0t-1 DNA  
440 em todos os experimentos, o qual corresponde a fração de gDNA enriquecida para sequências  
441 alta e moderadamente repetitivas, preparado conforme Zwick *et al.* (1997). O mix final para  
442 cada lâmina foi composto de 500 ng de gDNA derivado de machos, 500 ng de gDNA obtido  
443 das fêmeas e 15 $\mu$ g de C0t-1 DNA. As sondas foram precipitadas com etanol, seguido da mistura  
444 dos pellets secos com um buffer de hibridização contendo formamida 50%, 2xSSC, dodecil  
445 sulfato de sódio 10%, sulfato dextrano 10% e buffer Denhardt's (1% Ficoll, 1%  
446 polivinilpirrolidona e 1% albumina do soro bovino) com pH corrigido para 7.0.

447 O terceiro ensaio focou em comparações interespecíficas e intergenéricas: *Lebiasina*  
448 *bimaculata* x *L. melanoguttata* e *L. melanoguttata* x *Boulengerella lateristriga*, *Copella*  
449 *nattereri*, *Copeina guttata*, *Nannostomus beckfordi*, *N. eques*, *N. unifasciatus*, *N. marginatus*,  
450 *N. trifasciatus*, *Pyrrhulina australis*, *P. aff. australis*, *P. brevis* e *P. semifasciata*. Para isso, o  
451 gDNA derivado de fêmeas de *L. melanoguttata* foi marcado diretamente com o Atto488 (verde)  
452 com auxílio do kit de marcação Nick-translation (Jena Bioscience, Jena, Germany), enquanto  
453 os gDNA de *C. guttata*, *C. nattereri*, *P. australis*, *Pyrrhulina* aff. *australis*, *P. brevis*, *P.*  
454 *semifasciata*, *N. eques*, *N. marginatus*, *N. trifasciatus* e *N. unifasciatus* foram diretamente  
455 marcados com Atto550 (vermelho) com o mesmo kit de marcação.

456 Por fim, o quarto ensaio objetivou a comparação genômica das famílias Lebiasinidae e  
457 Ctenoluciidae. Assim, sondas genômicas derivadas de fêmeas de *L. bimaculata* (Lebiasinidae)  
458 e *B. lateristriga* (Ctenoluciidae) foram hibridizadas nos cromossomos de fêmeas de *L.*  
459 *bimaculata*. Para isso, o gDNA de *L. bimaculata* e de *B. lateristriga* foram respectivamente  
460 marcados com Spectrum Green-dUTP e Spectrum Orange-dUTP, utilizando o Nick-Translation  
461 mix kit (Roche, Manheim, Alemanha). A mistura final consistiu em 500 ng de gDNA derivado  
462 de fêmea de *L. bimaculata*, 500 ng de gDNA derivado de fêmea de *B. lateristriga* e 15 $\mu$ g de

463 C0t-1 DNA derivado de fêmeas de cada espécie, diluído no buffer de hibridização acima  
464 descrito.

465

466 **3.2.4 Pintura cromossômica total (WCP)**

467 Um experimento de pintura cromossômica total foi realizado entre espécies (Zoo-FISH),  
468 seguindo o protocolo descrito em Yano *et al.* (2017). Para tanto foi selecionado o primeiro par  
469 cromossômico de *L. bimaculata* e *B. lateristriga*, visto que estes se destacam facilmente dos  
470 demais cromossomos do cariótipo em relação ao tamanho, permitindo assim sua identificação  
471 precisa após coloração com Giemsa. Dezenas cópias do primeiro par cromossômico de ambas  
472 as espécies foram isoladas por microdissecção e amplificados seguindo o procedimento descrito  
473 em Yang *et al.* (2009). As sondas foram denominadas LEB-1 e BOU-1 e marcadas com  
474 Spectrum Orange-dUTP e Spectrum Green-dUTP (Vysis, Downers Grove, IL, USA),  
475 respectivamente, em uma Reação de Polimerase em Cadeia com primer degenerado de  
476 oligonucleotídeos (DOP-PCR: *Degenerated Oligonucleotide-Primed Polymerase Chain*  
477 *Reaction*), utilizando 1µl do produto primeiramente amplificado como DNA molde (Yang *et*  
478 *al.*, 2009). As sondas foram hibridizadas em preparações cromossômicas de *L. bimaculata* e *L.*  
479 *melanoguttata*.

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481 **3.2.5 – Análises**

482 Trinta metáfases por indivíduo foram analisadas para confirmar o número diploide 2n,  
483 a estrutura cariotípica e os resultados da FISH. As imagens foram analisadas e capturadas em  
484 microscópio Olympus BX50 (Olympus Corporation, Ishikawa, Japão) com CoolSNAP e  
485 processadas utilizando o software Image Pro Plus 4.1 (Media Cybernetics, Silver Spring, MD,  
486 USA). Os cromossomos foram classificados como metacêntricos (m) ou submetacêntricos  
487 (sm), seguindo a classificação proposta por Levan *et al.* (1964), a partir da razão de braços.

488 Além disso, a confecção de mapas foi realizada nos softwares QGIS Desktop 3.6.3, Inkscape  
489 0.92 e Adobe Photoshop CC 2015. Este último software foi também utilizado para a montagem  
490 dos cariotipos, ideogramas e o tratamento das demais imagens.

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492     **IV - Resultados e Discussão**

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494       Os resultados e as discussões foram compilados na forma de artigos científicos, sendo  
495       o primeiro capítulo publicado na revista “**International Journal of Molecular Sciences**” (IF  
496       = 4.18 e Qualis A2). Já o segundo capítulo será submetido para a revista “**Genes**” (IF = 3.484  
497       e Qualis A2), em sua edição especial intitulada “Chromosome-Centric View of the Genome  
498       Organization and Evolution”.

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## Chromosomal Evolution and Evolutionary Relationships of *Lebiasina* Species

527

528

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535

536

## Abstract

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We present the first cytogenetic data for *Lebiasina bimaculata* and *L. melanoguttata* with the aim of (1) investigating evolutionary events within *Lebiasina* and their relationships with other Lebiasinidae genera and (2) checking the evolutionary relationships between Lebiasinidae and Ctenoluciidae. Both species have a diploid number  $2n = 36$  with similar karyotypes and microsatellite distribution patterns but present contrasting C-positive heterochromatin and  $\text{CMA}_3^+$  banding patterns. The remarkable interstitial series of C-positive heterochromatin occurring in *L. melanoguttata* is absent in *L. bimaculata*. Accordingly, *L. bimaculata* shows the ribosomal DNA sites as the only GC-rich ( $\text{CMA}_3^+$ ) regions, while *L. melanoguttata* shows evidence of a clear intercalated  $\text{CMA}_3^+$  banding pattern. In addition, the multiple 5S and 18S rDNA sites in *L. melanoguttata* contrast with single sites present in *L. bimaculata*. Comparative genomic hybridization (CGH) experiments also revealed a high level of genomic differentiation between both species. A polymorphic state of a conspicuous C-positive,  $\text{CMA}_3^+$ , and (CGG)n band was found only to occur in *L. bimaculata* females, and its possible relationship with a nascent sex chromosome system is discussed. Whole chromosome painting (WCP) and CGH experiments indicate that the *Lebiasina* species examined and *Boulengerella maculata* share similar chromosomal sequences, thus supporting the relatedness between them and the evolutionary relationships between the Lebiasinidae and Ctenoluciidae families.

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555     **Introduction**

556       Lebiasinidae (Characiformes) are small freshwater fishes comprising approximately 74  
557       valid species widely distributed throughout South and Central America, from Costa Rica to  
558       Argentina (Weitzman and Weitzman, 2003; Fricke *et al.*, 2019). Two subfamilies and seven  
559       genera are currently recognized: Lebiasininae (*Lebiasina*, *Piabucina*, and *Derhamia*) and  
560       Pyrrhulininae (*Pyrrhulina*, *Nannostomus*, *Copeina*, and *Copella*) (Fricke *et al.*, 2019). Several  
561       lebiasinids experienced an evolutionary gradual body miniaturization, resulting in very small-  
562       sized taxa (Weitzman and Vari, 1988).

563       Several *Lebiasina* species need taxonomic revision to better elucidate their identities.  
564       Although an unpublished phylogenetic analysis considers this genus to be the most basal of  
565       Lebiasinidae (Netto-Ferreira, 2010), further studies are necessary to characterize the  
566       evolutionary relationships within the family. In addition, the phylogenetic position of  
567       Lebiasinidae with respect to other Characiformes groups is also not well defined. In this sense,  
568       it has been proposed as being closely related to different Characiformes families, such as  
569       Ctenoluciidae, Erythrinidae, and Hepsetidae (Oyakawa, 1998; Buckup, 1998; de Pinna *et al.*,  
570       2018). However, recent phylogenetic analyses based on molecular data have repeatedly  
571       considered Lebiasinidae as closely related to Ctenoluciidae (Oliveira *et al.*, 2011; Arcila *et al.*,  
572       2017; Betancur-R *et al.*, 2019).

573       In this context, methodological advances in cytogenetics have improved the knowledge of  
574       fish biodiversity by providing useful taxonomic and evolutionary data (Ciuffo *et al.*, 2018).  
575       Although a large number of neotropical fish species has been cytogenetically analyzed so far,  
576       lebiasinids remain poorly explored under this approach, with most of the available data limited  
577       to haploid (n) and/or diploid (2n) number descriptions (**Table 1**). This scarcity of data is  
578       probably linked with the small size of many species, which makes the obtaining good  
579       metaphase plates difficult, both in terms of quantity and quality. The available data points to

580 great variation in the chromosome numbers of some taxa such as *Nannostomus*, in which the  
581 chromosome number ranges from  $2n = 22$  in *Nannostomus unifasciatus* to  $2n = 46$  in  
582 *Nannostomus trifasciatus* (Arai, 2011). However, it is possible that misidentifications have led  
583 to different  $2n$  numbers for the same nominal species (**Table 1**). In fact, many Lebiasinidae  
584 species are poorly diagnosed, mainly due to the fact that some present great variation in color  
585 pattern (which may be related to sexual dimorphism) alongside with destroyed type material,  
586 which constitutes a barrier for their proper identification (Netto-Ferreira *et al.*, 2013; Marinho  
587 and Menezes, 2017).

588 Recently, some fine-scale molecular cytogenetic approaches, such as comparative genomic  
589 hybridization (CGH) and whole chromosome painting (WCP), have been applied in several fish  
590 groups, allowing a deeper understanding of their karyotypes and genomic evolution (Moraes *et*  
591 *al.*, 2019; Barby *et al.*, 2019; Carvalho *et al.*, 2017; de Freitas *et al.*, 2017; de Oliveira *et al.*,  
592 2019; Sember *et al.*, 2018). In this context, *Pyrrhulina* represents the only Lebiasinidae genus  
593 where, besides conventional analysis, molecular cytogenetic approaches have also been  
594 performed (Moraes *et al.*, 2017; 2019). CGH experiments were able to show evidence of a range  
595 of specific differentiations between two morphologically similar species, thus pointing to their  
596 particular evolutionary history and differential taxonomy (Moraes *et al.*, 2017). Moreover,  
597 Whole Chromosome Painting (WCP) experiments were useful for demonstrating the origin and  
598 evolution of a multiple X1X2Y sex chromosome system in *Pyrrhulina semifasciata* as well as  
599 the occurrence of putative undifferentiated sex chromosomes in the three other congeneric  
600 species (Moraes *et al.*, 2019).

601 On the other hand, Ctenoluciidae is a small family of Neotropical fishes composed of the  
602 genera *Ctenolucius*, with two species, and *Boulengerella*, with five species (Vari and  
603 Malabarba, 1998; Nelson *et al.*, 2016). Cytogenetic analyses conducted in four *Boulengerella*  
604 species demonstrated a conservative chromosomal pattern, with all species presenting  $2n = 36$

605 chromosomes and similar C-positive heterochromatin and ribosomal DNA (rDNA) distribution  
606 patterns (de Souza e Sousa, 2017). In addition, a male heteromorphic state regarding the  
607 Nucleolar Organizer Regions (NOR)-carrying chromosome pair was also observed in all  
608 species, thus suggesting a putative XX/XY sex chromosome system (de Souza e Sousa, 2017).

609 The present study represents part of a series focusing on the cytogenetics and cytogenomics  
610 of Lebiasinidae fishes. Here, we provide, for the first time, cytogenetic data for two *Lebiasina*  
611 species (*Lebiasina bimaculata* and *Lebiasina melanoguttata*) using multipronged cytogenetic  
612 approaches including C- and CMA3 banding, repetitive DNA mapping, CGH, and WCP  
613 experiments. *L. bimaculata* is known to be present in Ecuador and Peru in drainages west of  
614 Andes, and in the upper Marañon basin, while *L. melanoguttata* occurs in the tributaries of rio  
615 Curuá, rio Xingú basin, Serra do Cachimbo, and Pará, Brasil (Weitzman and Weitzman, 2003).  
616 We aimed to investigate chromosomal evolutionary processes within this genus and their  
617 relationships with other Lebiasinidae genera, as well as to provide additional evidence of the  
618 phylogenetic proximity between the Lebiasinidae and Ctenoluciidae families.

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629 **Table 1.** Chromosomal data for the Lebiasinidae family. The symbol ♂ was used to  
 630 represent the males and ♀ for the females. The question mark (?) was used when the sexes  
 631 were not identifiable. The karyotype formula uses “m” as metacentric, “sm” as  
 632 submetacentric, “st” as subtelocentric and “a” as acrocentric chromosomes

<b>Species</b>	<b>2n (sex)</b>	<b>Karyotype</b>	<b>Reference</b>
<b><i>Copeina</i></b>			
<i>C. guttata</i>	42 (?)	-	Scheell, 1973
<b><i>Copella</i></b>			
<i>C. arnoldi</i>	44 (?)	-	Scheell, 1973
<i>C. nattereri</i>	36 (?)	-	Scheell, 1973
<i>Copella</i> sp.	26 (?)	-	Scheell, 1973
<i>Copella</i> sp.	24 (?)	-	Scheell, 1973
<b><i>Nannostomus</i></b>			
<i>N. beckfordi</i> (A)	42 ♂	2m+40a	Arefjev, 1990
<i>N. beckfordi</i> (B)	44 (?)	-	Scheell, 1973
<i>N. beckfordi</i> (C)	36 (?)	-	Scheell, 1973
<i>N. eques</i> (A)	34 (?)	34a	Arefjev, 1990
<i>N. eques</i> (B)	36 (?)	-	Scheell, 1973
<i>N. arrisoni</i>	40 (?)	-	Scheell, 1973
<i>N. marginatus</i>	42 (?)	-	Scheell, 1973
<i>N. trifasciatus</i> (A)	46 (?)	-	Scheell, 1973
<i>N. trifasciatus</i> (B)	38 (?)	-	Scheell, 1973
<i>N. trifasciatus</i> (C)	30 (?)	-	Scheell, 1973
<i>N. trifasciatus</i> (D)	24 (?)	-	Scheell, 1973
<i>N. unifasciatus</i>	22 (?)	-	Scheell, 1973
<b><i>Pyrrhulina</i></b>			
<i>Pyrrhulina</i> cf. <i>australis</i>	40♂♀	6st+34a	Oliveira <i>et al.</i> , 1991
<i>Pyrrhulina</i> sp.	42 (?)	2m+2sm+38st/a	Oliveira <i>et al.</i> , 1992
<i>P. australis</i>	40♂♀	4st+36a	Moraes <i>et al.</i> , 2017
<i>Pyrrhulina</i> cf. <i>australis</i>	40♂♀	4st+36a	Moraes <i>et al.</i> , 2017
<i>P. brevis</i>	42♂♀	2sm + 4st + 36a	Moraes <i>et al.</i> , 2019
<i>P. semifasciata</i>	41♂42♀	1m + 4st + 36a ♂ 4st + 38a ♀	Moraes <i>et al.</i> , 2019

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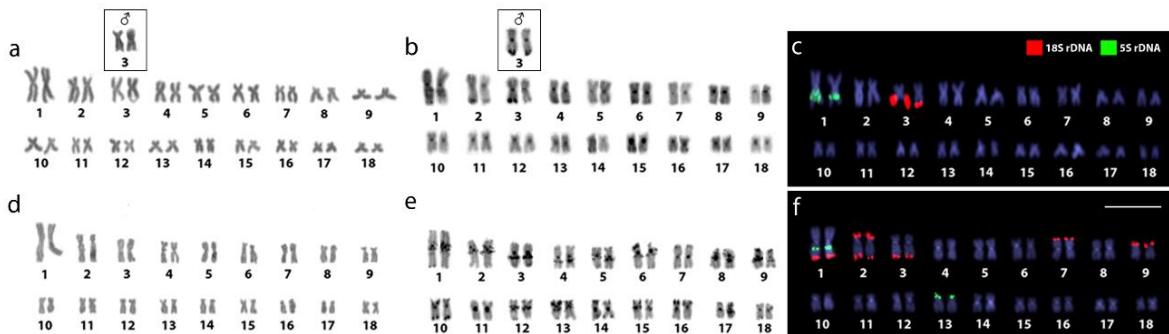
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638    **Results**639    *Karyotypes and C-Banding*

640       Both *Lebiasina* species showed the same chromosome number and karyotypes composed  
 641       exclusively by m and sm chromosomes ( $2n = 36m/sm$ , FN = 72) (**Figure 1 a, d and Figure**  
 642       **S1**). The C-positive heterochromatin was located in the centromeric and telomeric regions of  
 643       several chromosomes in both species, but *L. melanoguttata* displayed an exclusive set of  
 644       conspicuous interstitial C-bands (**Figure 1 b, e and Figure 2**). Besides, a female  
 645       heteromorphism concerning an enlarged C-positive telomeric constriction was observed in only  
 646       one homologue of pair 3 in *L. bimaculata* (**Figure 1, boxed and Figure 2**).



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648       **Figure 1.** Female karyotypes of *Lebiasina bimaculata* (a, b, and c) and *Lebiasina*  
 649       *melanoguttata* (d, e, and f) arranged after different cytogenetic procedures. Giemsa  
 650       staining (a,d), C-banding (b,e), and dual-color fluorescence in situ hybridization  
 651       (FISH) with 18S (red) and 5S (green) ribosomal DNA probes (c,f). Chromosomes were  
 652       counterstained with 4',6-diamidino-2-phenylindole (DAPI) in blue. The inserts  
 653       highlight the homomorphic condition related to pair 3 in the males of *L. bimaculata*.  
 654       Scale bar = 5  $\mu$ m

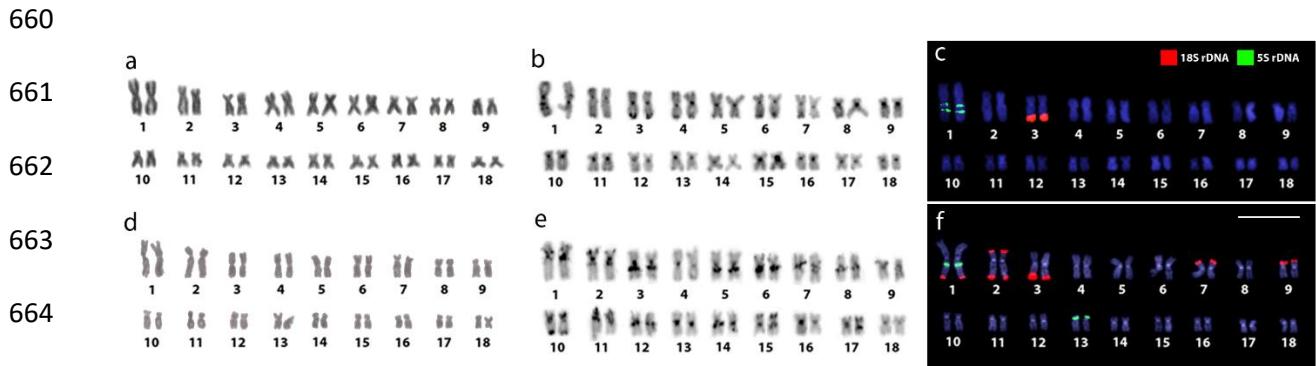
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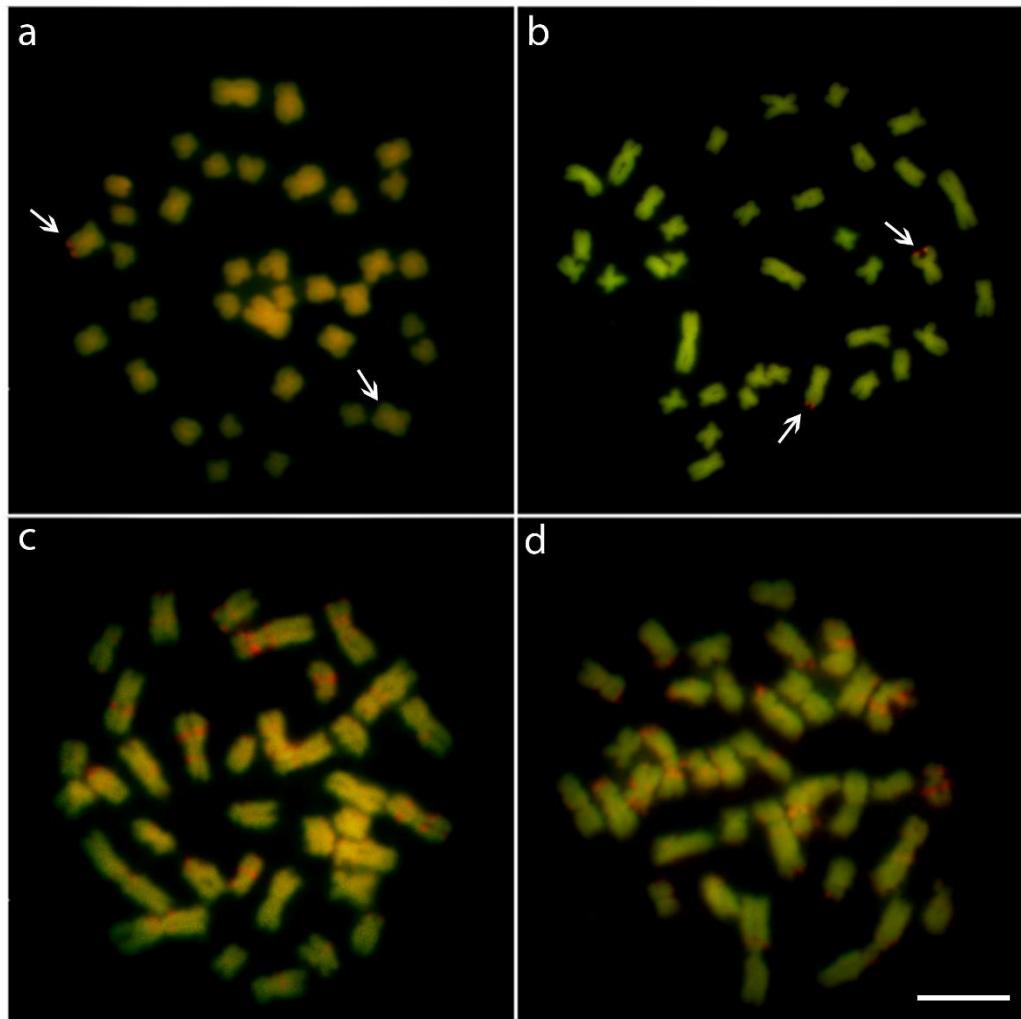
666 **Figure 2.** Male karyotypes of *Lebiasina bimaculata* (a, b and c) and *Lebiasina melanoguttata*  
 667 (d, e and f) arranged after different cytogenetic procedures. Giemsa staining (a, d); C-banding  
 668 (b, e) and dual-colour FISH with 18S (red) and 5S (green) rDNA probes (c, f). Chromosomes  
 669 are counterstained with DAPI (blue). Bar = 5  $\mu$ m

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671 *Chromosomal Mapping of Repetitive DNAs and CMA3 Banding*

672 In both species, pair 1 bears interstitial 5S rDNA sequences on the long arms with an  
 673 additional site on the short arms of pair 13 of *Lebiasina melanoguttata*. In this species, 12  
 674 telomeric 18S rDNA sites were observed, comprising five chromosomal pairs, including bi-  
 675 telomeric sites in pair 2 and a syntenic condition with the 18S rDNA site in pair 1. On the  
 676 contrary, *L. bimaculata* showed 18S rDNA sequences restricted only to the telomeric region of  
 677 pair 3 (**Figure 1c, f**).

678 CMA3+ bands (GC-rich regions) in *L. bimaculata* were found to be exclusively co-located  
 679 with the 18S rDNA sites. The same sex-associated polymorphic scenario related to C-banding  
 680 was also highlighted by this fluorochrome staining. Thus, in contrast to males, only one  
 681 Chromomycin A3 (CMA3)+ mark occurs in the female metaphases. On the other hand, besides  
 682 the 18S rDNA regions, a clear set of CMA3+ bands and 4',6-diamidino-2-phenylindole  
 683 (DAPI)+ (AT-rich) bands were highlighted on the chromosomes of *L. melanoguttata* (**Figure**  
 684 **3**).

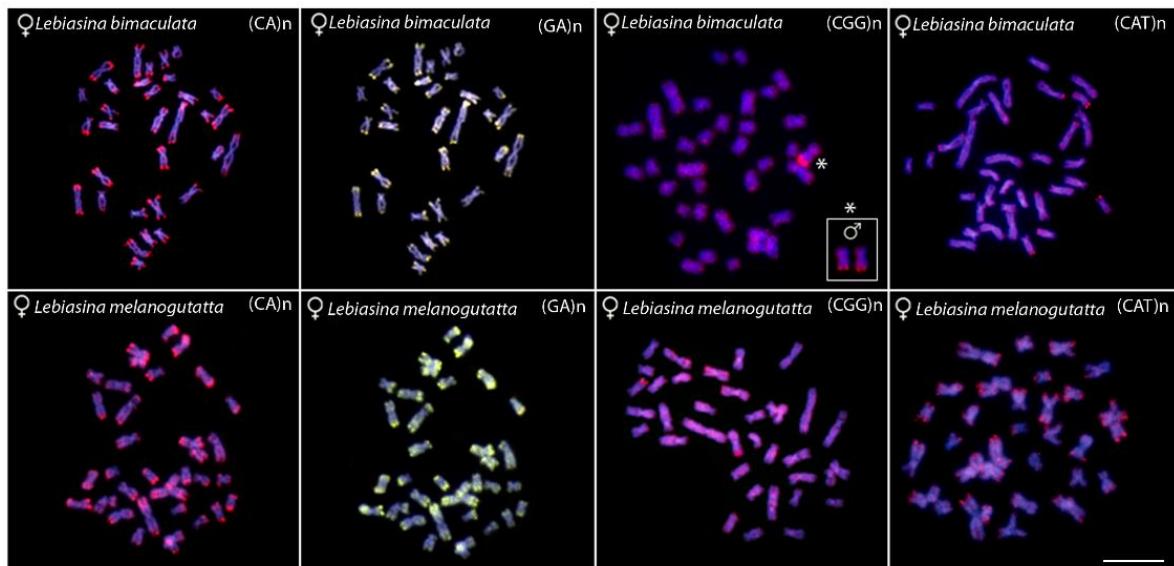


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686 **Figure 3.** Metaphase plates of female (a) and male (b) *Lebiasina bimaculata* and male  
 687 (c) and female (d) *Lebiasina melanoguttata* after DAPI-CMA3 staining. The arrows  
 688 indicate the unique CMA3+ site and its polymorphic state between male and females  
 689 of *L. bimaculata*. In *L. melanoguttata*, males and females display a set of CMA3+  
 690 (GC-rich) and DAPI+ (AT-rich) regions on the chromosomes. Scale bar = 5  $\mu$ m.

691 Chromosomal mapping with the microsatellites probes (CA) $n$ , (GA) $n$ , (CGG) $n$ , and  
 692 (CAT) $n$  displayed a similar pattern for males and females of both species. The microsatellites  
 693 (CA) $n$  and (GA) $n$  exhibit conspicuous subtelomeric signals in almost all chromosomes.  
 694 (CGG) $n$  motifs have a dispersed distribution throughout most of the chromosomes, along with  
 695 a conspicuous telomeric cluster in one chromosome pair. Notably, a polymorphic scenario  
 696 between males and females also occurs in *L. bimaculata*, as reported for the C-banding, 18S

697 rDNA, and CMA3 patterns. Here, only one (CGG)n telomeric cluster is present in female  
698 metaphases, in contrast to two found in males (**Figure 4, boxed**). The microsatellite (CAT)n  
699 presents a dispersed distribution, with accumulation in the telomeric regions of some  
700 chromosomes in *L. bimaculata*, but in several regions in *L. melanoguttata* (**Figure 4**).

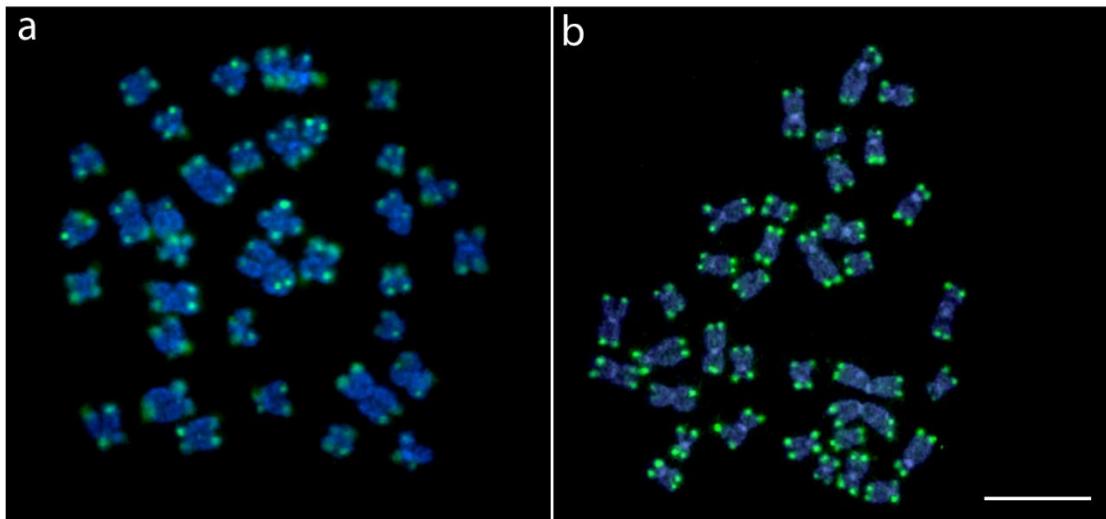


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702 **Figure 4.** Metaphase plates of *Lebiasina bimaculata* (upper line) and *Lebiasina*  
703 *melanoguttata* hybridized with the microsatellite probes (CA)n, (GA)n, (CGG)n, and  
704 (CAT)n, respectively, showing the general distribution pattern of these repetitive  
705 DNAs in the chromosomes. Bar = 5  $\mu$ m.

706 FISH with the (TTAGGG)n probe revealed hybridization signals only on the telomeric  
707 regions of all chromosomes, without interstitial telomeric sites (ITS), in both species (**Figure**  
708 **5**).

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**Figure 5.** Female metaphase plate of *Lebiasina bimaculata* (a) and *Lebiasina melanoguttata* (b) showing the distribution of the telomeric (TTAGGG) $n$  repeats. Bar = 5  $\mu$ m.

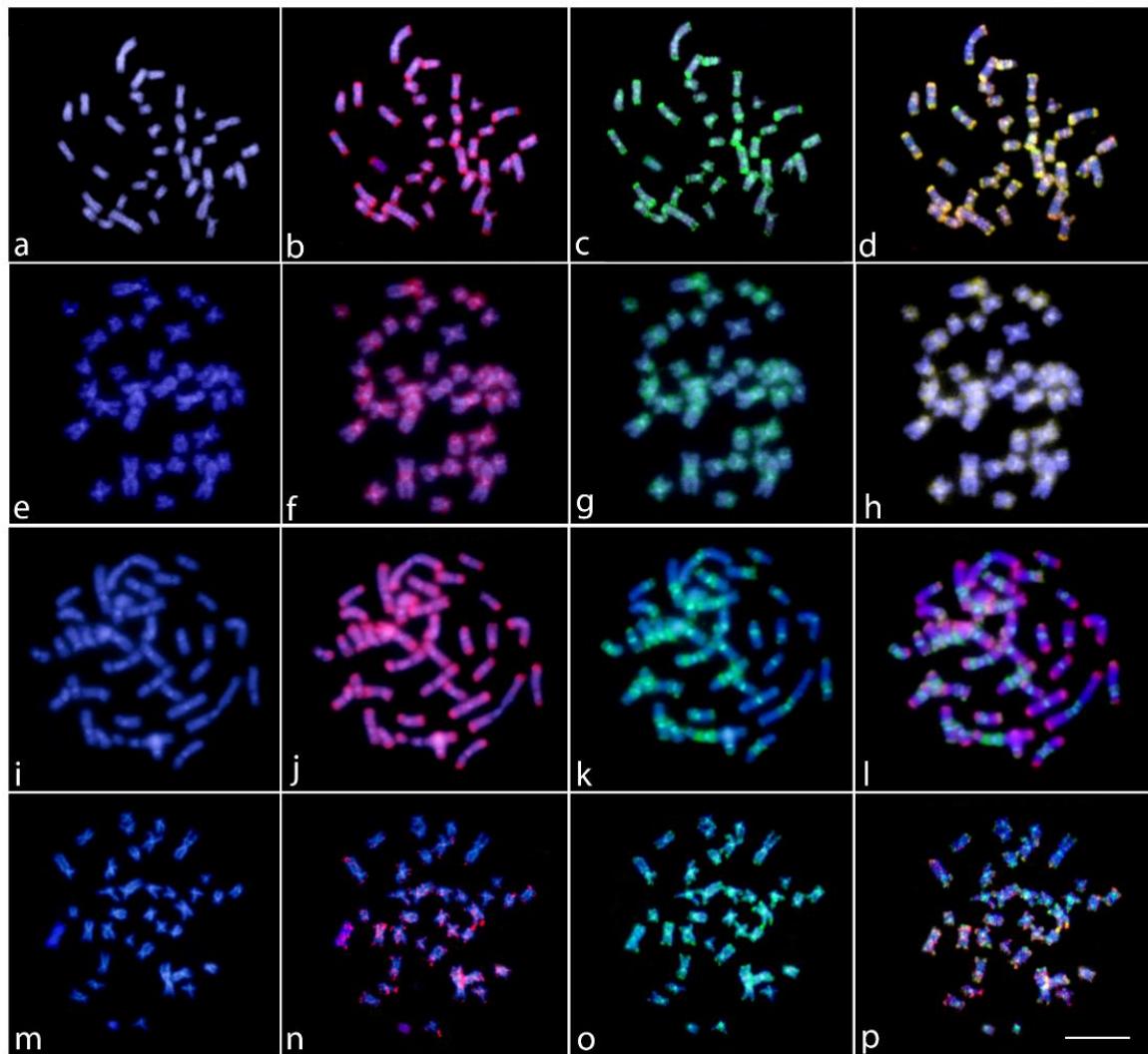
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714 *Comparative Genomic Hybridization (CGH)*

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The genomic DNA (gDNA) comparison between *Lebiasina bimaculata* and *L. melanoguttata* revealed a high level of compartmentalization, with both species presenting a distinct composition of repetitive DNA sequences which vary both in quantity and distribution (**Figure 6i–l**). The CGH between males and females of *L. bimaculata* highlighted the presence of specific signals for females in the telomeric region of chromosome pair 3 (**Figure 6a–d**), the same polymorphic region identified by C-banding, 18S rDNA, (CGG) $n$ , and CMA3+. However, no differences were observed between *L. melanoguttata* males and females (**Figure 6e–h**). The genomic comparison between *Lebiasina* and *Boulengerella* species showed that *Lebiasinidae* and *Ctenoluciidae* share several repetitive DNA segments (**Figure 6m–p**), especially in the telomeric regions.

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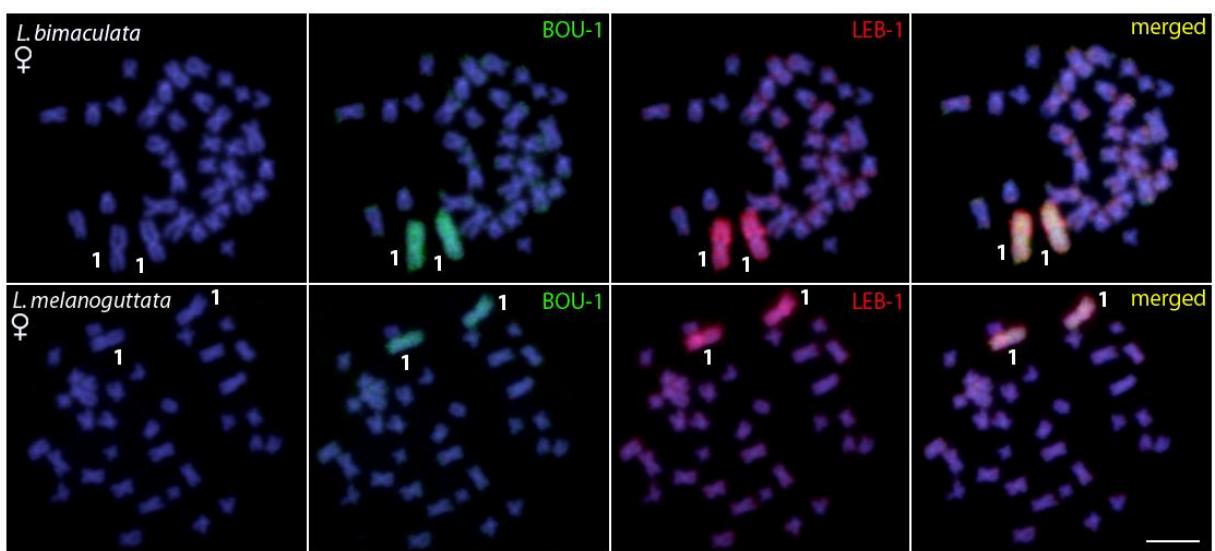
726 **Figure 6.** Comparative genomic hybridization (CGH) for intra- and interspecific  
727 comparison in the female metaphase plates of *Lebiasina bimaculata* (a–d and m–p) and *L.*  
728 *melanoguttata* (e–h and i–l). Male- and female-derived genomic probes from *L. bimaculata*  
729 mapped against female chromosomes of *L. bimaculata* (a–d); Male- and female-derived  
730 genomic probes from *L. melanoguttata* mapped against female chromosomes of *L.*  
731 *melanoguttata* (e–h); female-derived genomic probes from both *L. bimaculata* and *L.*  
732 *melanoguttata* hybridized together against female chromosomes of *L. melanoguttata* (i–l);  
733 and female-derived genomic probes from both *L. bimaculata* and *Boulengerella*  
734 *lateristriga* (Ctenolucidae) hybridized together against female chromosomes of *L.*  
735 *bimaculata* (m–p). First column (a, e, i, m): DAPI images (blue); second column (b, f, j,  
736 n): hybridization patterns using male gDNA of *L. bimaculata* (b), male gDNA of *L.*  
737 *melanoguttata* (f), female gDNA of *L. melanoguttata* (j), and female gDNA of *B.*  
738 *lateristriga* probes (red); third column (c, g, k, o): hybridization patterns using female

739 gDNA of *L. bimaculata* (c and o) and female gDNA of *L. melanoguttata* (g and k) probes  
740 (green); fourth column (d, h, l, p): merged images of both genomic probes and DAPI  
741 staining. The common genomic regions are depicted in yellow. Scale bar = 5  $\mu$ m.

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743 *Whole Chromosome Painting (WCP)*

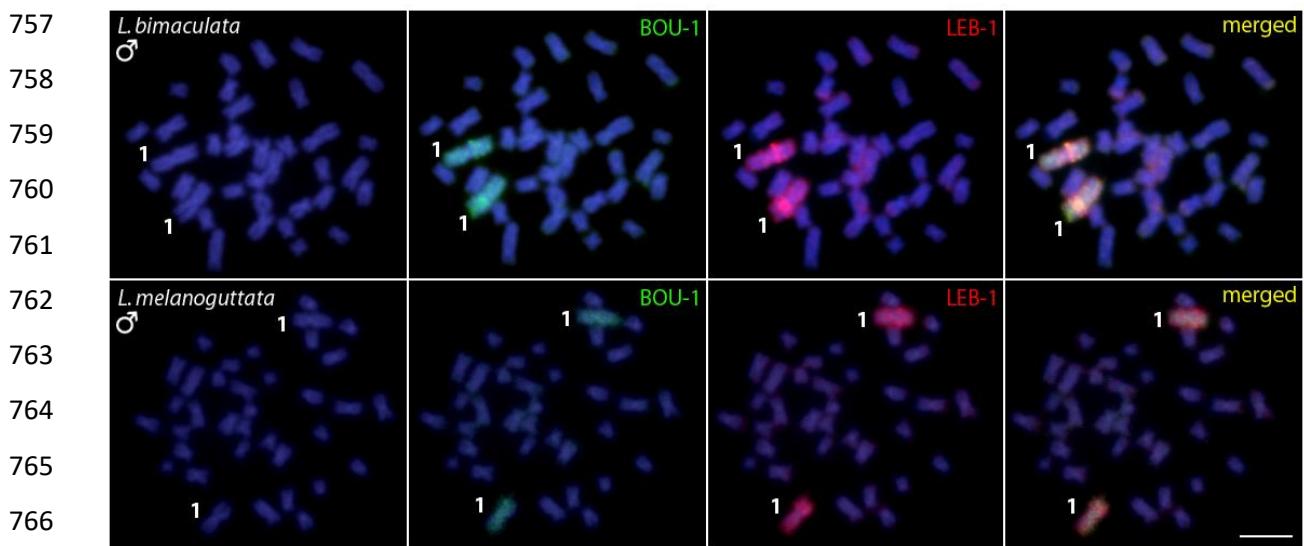
744 The quality of the chromosome probes (LEB-1 and BOU-1) was validated by mapping  
745 them back onto the chromosomal background of *L. bimaculata* and *Boulengerella lateristriga*  
746 (data not shown), respectively, using species-specific Cot1-DNA as the suppressor. As  
747 expected, the first chromosome pair was completely painted in both species. Besides, both  
748 probes completely painted pair 1 of *L. bimaculata* and *L. melanoguttata*, indicating that the first  
749 chromosomal pair of these three species represents homologous ones, with a great conservation  
750 of their genomic content, size, and morphology (**Figure 7 and 8**).



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752 **Figure 7.** WCP with the LEB-1 (red) and BOU-1 (green) probes derived from pair 1  
753 of *Lebiasina bimaculata* and *Boulengerella lateristriga*, respectively, hybridized  
754 against female metaphase chromosomes of *Lebiasina bimaculata* and *Lebiasina*  
755 *melanoguttata*. No differences between the sexes were observed. Bar = 5  $\mu$ m.

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**Figure 8.** Zoo-FISH with the BOU-1 (green) and LEB-1 (red) probes derived from the pair 1 of *Lebiasina bimaculata* and *Boulengerella lateristriga*, respectively, hybridized against male metaphase plates of *Lebiasina bimaculata* and *Lebiasina melanoguttata*. Bar = 5  $\mu$ m

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791     **Discussion**

792     *Chromosomal Features of Lebiasina Species*

793       Both *Lebiasina* species presented the same diploid number ( $2n = 36$ ), composed  
794       exclusively of bi-armed (m and sm) chromosomes. According to the data summarized in **Table**  
795       **1**, this feature represents an exception among Lebiasinidae, since all of the other species  
796       analyzed harbor karyotypes dominated by mono-armed (st/a) chromosomes. The presence of  
797       karyotypes composed predominantly of mono-armed chromosomes seems to be a characteristic  
798       of most derived fish clades, where the basal ones display mainly biarmed ones (Nirchio *et al.*,  
799       2014). Beyond the differences found between basal and derived orders in fish phylogeny, the  
800       tendency towards chromosome acrocentrization seems to occur even within groups at the family  
801       level. For example, the ancestral karyotype reconstruction analysis performed in the family  
802       Carangidae has shown that although the diploid number  $2n = 48$  is conserved in the family,  
803       karyotypes with higher numbers of biarmed chromosomes (m/sm) are predominant in basal  
804       clades, whereas a higher proportion of acrocentric chromosomes with a decreasing tendency or  
805       complete elimination of biarmed chromosomes is observed in most derivative species (Jacobina  
806       *et al.*, 2014). In the case of *Lebiasina*, which is considered basal in the family, the presence of  
807       biarmed chromosomes must represent a basal condition for the family, a fact that is also  
808       reinforced by the absence of any ITS signal on their chromosomes (**Figure 5**). In this sense, the  
809       high  $2n$  variation present in other Lebiasinidae species suggests that multiple chromosomal  
810       rearrangements, including fission events, might have produced the huge chromosomal  
811       differentiations in number and morphology within this fish group.

812       It is known that chromosomal rearrangements can foster adaptation to heterogeneous  
813       environments by limiting genomic recombination, and thus, they may be directly linked to  
814       speciation processes (White, 1978; Lowry and Willis, 2010; Jay *et al.*, 2018; Mérot *et al.*, 2018;

815 Supiwong *et al.*, 2019). Such rearrangements could be facilitated by common fragile sites that  
816 propitiate breaks and gaps that frequently occur at the heterochromatin–euchromatin borders  
817 (Arlt *et al.*, 2006; Badaeva *et al.*, 2007). Of course, this evolutionary pathway, which appears  
818 to fit Lebiasinidae, should be highly corroborated as other genera and species are investigated  
819 by advanced chromosomal procedures, a type of study that is presently ongoing in our research  
820 group.

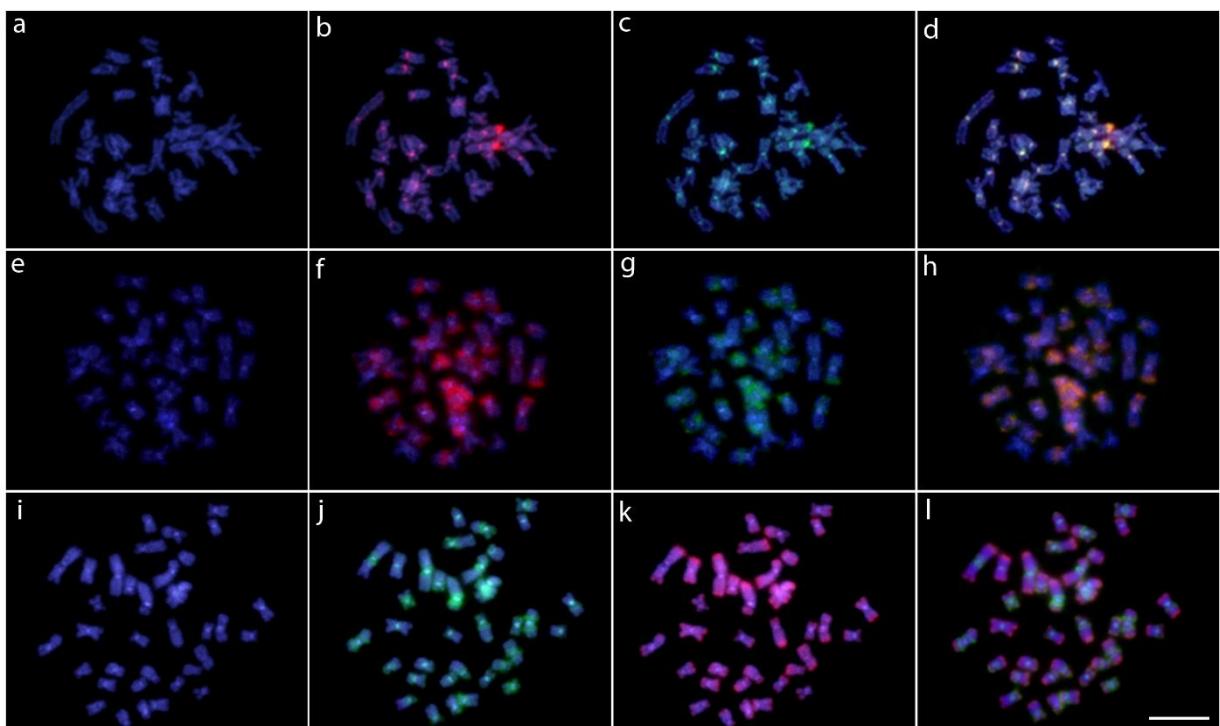
821 In turn, the remarkable series of interstitial C-positive heterochromatin in several  
822 chromosomes of *L. melanoguttata* — as also observed in other lebiasinid species such as  
823 *Pyrrhulina* aff. *australis* (Moraes *et al.*, 2017) and *P. brevis* (Moraes *et al.*, 2019) — is of  
824 particular relevance. An inherent feature of heterochromatin is its complex composition of  
825 tandem repeats of several repetitive DNA sequences (López-Flores and Garrido-Ramos, 2012),  
826 including some rDNA and microsatellite sequences, such as those mapped here. In *Lebiasina*,  
827 most of these sequences are species-specific, as demonstrated by the CGH experiments (**Figure**  
828 **6**). Repetitive DNA also might form secondary chromosomal structures with the potential to  
829 induce replication fork stalling, leading to DNA breakage (Barra and Fachinetti, 2018). As the  
830 correlation between repetitive DNA sequences, fragile sites, and chromosomal rearrangements  
831 is widely known and documented (Raskina *et al.*, 2008; Barros *et al.*, 2017), our results point  
832 to a direct correlation between the content of the genomic repetitive elements and the karyotype  
833 divergence experienced by lebiasinid fishes. In fact, despite having the same 2n and karyotype  
834 structure, both *Lebiasina* species display divergent C-positive heterochromatin, CMA3+  
835 banding, and rDNA distribution patterns, with the noteworthy interstitial series of C-positive  
836 heterochromatin being absent in *L. bimaculata*. Accordingly, the latter also shows the rDNA  
837 sites as the only GC-rich regions in the karyotype, in contrast with the rich CMA3+ banding  
838 pattern found in *L. melanoguttata*.

839       *Lebiasina bimaculata* presents single 5S and 18S rDNA sites, with the latter associated  
840 with GC-rich heterochromatin (**Figures 1, 2, and 3**). This pattern represents the most common  
841 scenario found in fish (Gornung, 2013; Sochorová *et al.*, 2017), in contrast to warm-blooded  
842 vertebrates, which present genomic GC heterogeneity (Symonová *et al.*, 2016). In turn, *L.*  
843 *melanoguttata* displays multiple rDNA sites and a clear set of intercalated CMA3+ and DAPI+  
844 bands (**Figures 1, 2 and 3**). In addition, to clearly differentiate it from *L. bimaculata*, this  
845 banding pattern also represents a remarkable exception among fishes, since just few species  
846 have presented such a GC-compartmentalized genome thus far (Symonová *et al.*, 2016). On the  
847 other hand, the diversity in the number of the rDNA loci, with the spreading of the 18S repeats  
848 to five chromosomal pairs, including bi-telomeric sites and 18S/5S rDNA synteny, has already  
849 been documented for other fish groups (Sochorová *et al.*, 2017). It is pointed out that such  
850 divergences among closely related species may create sub-chromosomal background  
851 diversification that is directly linked with some speciation events (Symonová *et al.*, 2016).

852       Although generally following the common pattern found among fishes (Cioffi and  
853 Bertollo, 2012), microsatellite mapping enabled some specificity to be shown between the  
854 *Lebiasina* species. A strong accumulation of the (GA)<sub>n</sub> and (CA)<sub>n</sub> repeats was found in the  
855 genomes of both species, especially in the subtelomeric regions, indicating the occurrence of  
856 very large perfect or degenerate arrays. Likewise, both species displayed a dispersed  
857 distribution of the (CGG)<sub>n</sub> repeats among all chromosomes. However, a remarkable sex-  
858 specific accumulation was observed in *L. bimaculata*.

859       In accordance with the above-mentioned features, the genomic comparison determined by  
860 CGH experiments also showed that both species differ in the composition and distribution of  
861 their repetitive sequences (**Figures 6 and 9**). A similar scenario has also been found in some  
862 other fish groups, such as in Notopteridae (Osteoglossiformes), where most species, although  
863 retaining a relatively conserved karyotype with a long evolutionary time (>120 Mya), show

864 significant genomic diversity highlighted by CGH and DArT-Seq analysis (Barby *et al.*, 2019).  
865 In addition, it is noteworthy that *L. bimaculata* displays a particular telomeric female signals in  
866 chromosome pair 3, the same region that shows the differential (CGG)n+/CMA3+/18S rDNA+  
867 constitution in this chromosome. This chromosomal scenario and its potential relationships with  
868 sex-specific regions/chromosomes are discussed in depth below.



869  
870 **Figure 9.** Comparative genomic hybridization (CGH) for intra- and interspecific comparison  
871 in male metaphase plates of *L. bimaculata* (a-d) and *L. melanoguttata* (e-h and i-l). Male and  
872 female-derived genomic probes from *L. bimaculata* mapped against male chromosomes of *L.*  
873 *bimaculata* (a-d); Male- and female-derived genomic probes from *L. melanoguttata* mapped  
874 against male chromosomes of *L. melanoguttata* (e-h); Male-derived genomic probes from *L.*  
875 *melanoguttata* (red) and *L. bimaculata* (green) hybridized together against male chromosomes  
876 of *L. melanoguttata* (i and l). First column (a-e-i): DAPI images (blue) of male *L. bimaculata*  
877 (a) and *L. melanoguttata* (e and i) metaphases; Second column (b-f-j): hybridization pattern  
878 using male gDNA of *L. bimaculata* (b – red; j - green) and male gDNA of *L. melanoguttata* (f  
879 - red); Third column (c-g-k): hybridization pattern using female gDNA of *L. bimaculata* (c -  
880 green) and female gDNA of *L. melanoguttata* (g - green and k - red); Fourth column (d-h-i):  
881 merged images of both genomic probes and DAPI staining. The common genomic regions are  
882 depicted in yellow. Bar = 5 μm.

883 *Heterochromatin Polymorphism and CGH: Putative Sex Chromosomes in L. bimaculata?*

884 Our results revealed that a differentiation between sexes occurs in the genome of *Lebiasina*  
885 *bimaculata*, where the females differ from males for a set of chromosomal markers. Giemsa  
886 staining, C-banding, 18S rDNA, CGG(n) and CMA3+ mapping showed characteristics for only  
887 one homologue of female pair 3. In addition, after intraspecific CGH experiments, females also  
888 showed conspicuous “specific” signals in both chromosomes of the third pair, whereas in the  
889 male genome, they appeared to be absent or perhaps with a very small and discrete size.

890 It is known that the rRNA gene amplification system is unique in maintaining a species-  
891 specific number of rDNA copies (Kobayashi, 2016). In addition, it is also possible that unequal  
892 sister chromatid recombination or retrotransposition lead to copy number variation of some  
893 rDNA (Symonová *et al.*, 2016). The process that maintains the homogeneity and functionality  
894 of rDNA is concerted Evolution (Zimmer *et al.*, 1980; Dover, 1982), probably mediated by  
895 homologous and non-homologous recombination, since it is observable that the copy number  
896 and position of rDNA on chromosomes (Sochorová *et al.*, 2017; Roy *et al.*, 2005; Wang *et al.*,  
897 2017). Three mechanisms can generate copy number variation in humans: two recombination-  
898 based (nonallelic homologous recombination and nonhomologous end-joining) methods and  
899 retrotransposition (Zhang *et al.*, 2009). In this sense, a variation in the number of the 18S rDNA  
900 copies, associated with a set of other associated repetitive DNAs appears to be a possible  
901 explanation for the differentiation observed.

902 Despite the small sampling size, it is not clear why such features manifest only in females.  
903 In fact, if this situation represents a polymorphic autosomal condition, it would be expected to  
904 occur in both sexes. Could this female trait have some correlation with a possible sex  
905 determining system, despite the absence of a morphologically heteromorphic chromosome pair  
906 in the karyotype? Sex chromosome systems with heteromorphic chromosomes are present in  
907 about 5% of actinopterygian fish (Arai, 2011). Unfortunately, classical cytogenetic methods

908 have some limitations for highlighting sex chromosome systems, unless a distinct  
909 differentiation is already present in the sex pair, thus underestimating their real occurrence  
910 (Gamble *et al.*, 2015). The sex determination in fish depends on a complex series of  
911 interconnected biochemical processes that can be mono or polygenic, and cytogenetic  
912 differences between heteromorphic pairs may be too small to be observed by current techniques  
913 (Gold *et al.*, 1980; Devlin and Nagahama, 2002). However, this scenario has changed in the  
914 last years with the advent and popularization of cytogenomics. As *L. bimaculata* shows a copy  
915 number variation only in females, it is not possible to disregard its probable significance. In this  
916 view, the emergence of a sex chromosomal system in a very early evolutionary stage,  
917 characterized by the remaining morphological similarity in the proto-sex pair but already with  
918 discrimination in its genomic content, also appears to be a possible explanation. If so, it is  
919 plausible that the third female chromosome bearing the differentiated genomic content will  
920 constitute the future W chromosome of the emerging ZZ/ZW sex system.

921 Although a definite conclusion is not possible at this time, our hypothesis seems to be very  
922 similar to what is found in *Boulengerella*, a representative genus of Ctenoluciidae. This taxon  
923 shares similar characteristics to *L. bimaculata*, also presenting different sizes for the distal  
924 rDNA 18S sites and the corresponding C-banded region of only one homologue of a  
925 chromosome pair in the karyotype. However, in this case, the male specimens are the  
926 differentiated sex, thus suggesting a probable XX/XY sex chromosome system for  
927 *Boulengerella* (de Souza e Sousa *et al.*, 2017). In this sense, it is significant that Ctenoluciidae  
928 is thought to be related to Lebiasinidae (Arcila *et al.*, 2017; Betancur-R *et al.*, 2019). This  
929 scenario provides a unique opportunity for fine-scale analysis of a putative nascent sex  
930 chromosomes, and further analysis involving sequencing analysis will be performed to fully  
931 understand this scenario.

932

933      Relationships between Lebiasinidae and Ctenoluciidae

934      Previous phylogenetic studies have suggested a close relationship between Lebiasinidae  
935      and Erythrinidae, Ctenoluciidae, and Hepsetidae (Oyakawa, 1998; Buckup, 1998; Calcagnotto  
936      *et al.*, 2005), but with distinct arrangements within this group. Recently, the use of new  
937      sequencing technology, together with phylogenetic reconstructions, has provided evidence that  
938      Lebiasinidae and Ctenoluciidae are sister groups (Arcila *et al.*, 2017; Betancur-R *et al.*, 2019).  
939      In this way, we performed a comparative analysis between *Lebiasina* and *Boulengerella*  
940      species, representative taxa of the Ctenoluciidae family, in order to investigate their relatedness  
941      at the chromosomal level.

942      Notably, our results highlighted several similarities between *Lebiasina* and *Boulengerella*  
943      species, here represented by *B. lateristriga*, both at the chromosomal and genomic levels. At  
944      the level of the karyotype macrostructure, they have the same diploid number ( $2n = 36$ ) as well  
945      as both having exclusively bi-armed chromosomes. However, similarities between Lebiasinidae  
946      and Ctenoluciidae go beyond to the  $2n$  number and karyotype macrostructure. Furthermore, the  
947      CGH and WCP experiments also indicated their evolutionary relatedness. The comparative  
948      analysis of the gDNA of *L. bimaculata* and *B. lateristriga* provided evidence of the co-  
949      localization of scattered hybridization signals in many chromosomes of *L. bimaculata*, thus  
950      revealing the shared repetitive content of these regions. As expected, a range of non-  
951      overlapping species-specific signals also occurs, as the result of their specific evolutionary  
952      history (**Figure 6**). Remarkably, the Zoo-FISH analyses using both BOU-1 and LEB-1 probes  
953      showed complete homology between the first chromosomal pair of *L. bimaculata* and *B.*  
954      *lateristriga* (**Figure 7**), and such homology also extends to other *Bourengella* and *Ctenolucius*  
955      species (de Souza e Sousa, 2019). Despite the fact that probes from just one chromosomal pair  
956      were applied, the conservation of these syntenic regions between Lebiasinidae and  
957      Ctenoluciidae species introduces the expectation that several other regions may have been

958 remained conserved during the course of their genome differentiation, despite the spatio-  
959 temporal isolation.

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990    **Materials and Methods**

991    *Individuals*

992    The collection sites, numbers, and genders of individuals investigated are presented in  
993    **Figure 9** and **Table 2**. Samples were collected with the authorization of the environmental  
994    agency ICMBIO/SISBIO (License number 48628-2) and SISGEN (A96FF09). The specimens  
995    were properly identified by evaluation of their meristic characteristics and deposited in the fish  
996    collection site of the Museu de Zoologia da Universidade de São Paulo (MZUSP) under the  
997    voucher numbers 124457 and 124625.

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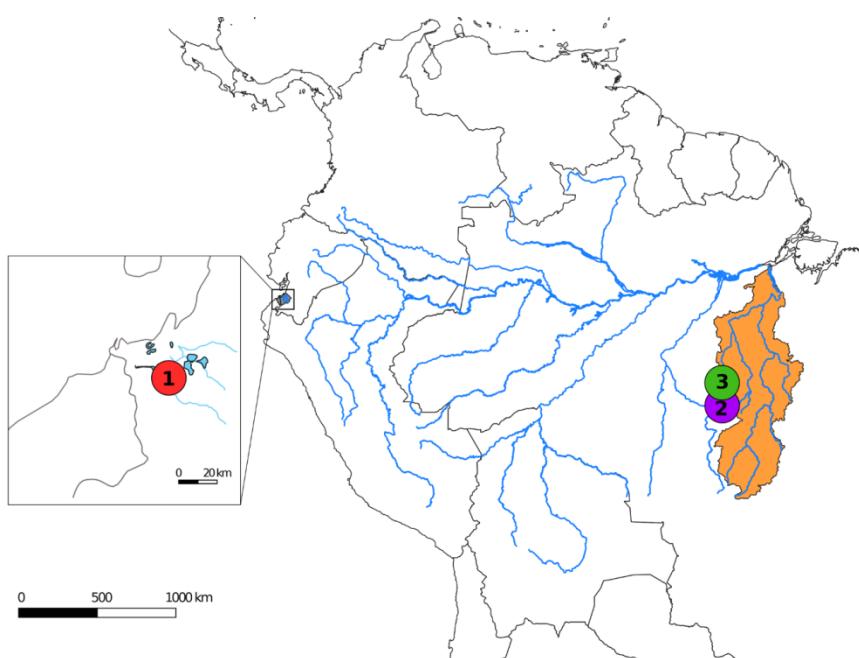
1010

1011    **Figure 10.** Map of South America highlighting the collection sites of *Lebiasina*  
1012    *bimaculata* (1—red circle) and *L. melanoguttata* (2—purple and 3—green circles). The  
1013    maps were created using the following software: QGis 3.4.3, Inkscape 0.92, and  
1014    Photoshop 7.0.

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1018 **Table 2.** Collection sites of the *Lebiasina* species analyzed with the sample size (N).

Species	Locality	N
<i>Lebiasina bimaculata</i>	Arenillas river lakes—El Oro (Ecuador) (S03°30'57.204", W80°3'44.2656")	04♂, 03♀
<i>Lebiasina melanoguttata</i>	Altamira—PA (Brazil) (S08° 46' 59,4", W54°58'26,9")	10♂, 04♀
<i>Lebiasina melanoguttata</i>	Cachoeira da Serra—PA (Brazil) (S08°58'18,7", W54°58'18,7")	04♂, 18♀

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1020 *Chromosome Preparations, C- and CMA3 Bandings*

1021 Mitotic chromosomes were obtained by the protocol described in Bertollo *et al.* (2015).  
 1022 The experiments followed ethical and anesthesia conducts and were approved by the Ethics  
 1023 Committee on Animal Experimentation of the Universidade Federal de São Carlos (Process  
 1024 number CEUA 1853260315). Chromomycin A3 and DAPI fluorescent staining was performed  
 1025 as described by Schmid (1980). The C-positive heterochromatin (C-banding) was identified  
 1026 according to Sumner (1972).

1027

1028 *Fluorescence In Situ Hybridization (FISH) for Repetitive DNA Mapping*

1029 Two tandemly-arrayed DNA sequences isolated from the genome of an Erythrinidae  
 1030 species, *Hoplias malabaricus*, previously cloned into plasmid vectors and propagated in  
 1031 competent cells of *Escherichia coli* DH5α (Invitrogen, San Diego, CA, USA), were used. The  
 1032 first probe contained a 5S rDNA repeat copy and included 120 base pairs (bp) of the 5S rRNA  
 1033 transcribing gene and 200 bp of the nontranscribed spacer (NTS) (Martins *et al.*, 2006). The  
 1034 second probe corresponded to a 1400 bp segment of the 18S rRNA gene obtained via PCR from  
 1035 nuclear DNA (Cioffi *et al.*, 2009). These probes were directly labeled with the Nick-Translation  
 1036 mix kit (Roche, Manheim, Germany). The 5S rDNA was labeled with Spectrum Green-dUTP,

1037 and the 18S rDNA was labeled with Spectrum Orange-dUTP (Vysis, Downers Grove, IL,  
1038 USA), according to the manufacturer's manual. The small repetitive sequences (CA)15,  
1039 (GA)15, (CAT)10, and (CGG)10 were directly labeled with Cy-3 (with the exception of (GA)15  
1040 which was direct labeled with FITC) during the synthesis, as described by [62]. Telomeric  
1041 (TTAGGG)n sequences were also mapped using the DAKO Telomere PNA FISH Kit/FITC  
1042 (DAKO, Glostrup, Denmark).

1043 *Comparative Genome Hybridization (CGH)*

1044 The gDNAs of *L. bimaculata*, *L. melanoguttata*, and *Boulengerella lateristriga*  
1045 (Ctenolucidae, previously analyzed in (de Souza e Sousa *et al.*, 2017)) were extracted from liver  
1046 tissue by the standard phenol-chloroform-isoamylalkohol method (Sambrook and Russell,  
1047 2001). Four different experimental designs were used for this study. The first two assays were  
1048 focused on intraspecific comparisons between males and females of both *Lebiasina* species.  
1049 For this purpose, gDNA of males and females of *L. melanoguttata* and *L. bimaculata* was  
1050 labelled with Spectrum Orange-dUTP and Spectrum Green-dUTP, respectively, using the Nick-  
1051 Translation mix kit (Roche, Manheim, Germany), and hybridized against the male and female  
1052 chromosome background of each species. For blocking the repetitive sequences in all  
1053 experiments, we used C0t-1 DNA (i.e., a fraction of genomic DNA enriched for highly and  
1054 moderately repetitive sequences) prepared according to (Zwick *et al.*, 1997). The final probe  
1055 mixture for each slide was composed of 500 ng of male-derived gDNA, 500 ng of female-  
1056 derived DNA, and 15 µg of female-derived C0t-1 DNA. The probe was precipitated with  
1057 ethanol and the dry pellets were mixed with a hybridization buffer containing 50% formamide,  
1058 2×SSC, 10% SDS, 10% dextran sulfate, and Denhardt's buffer at pH 7.0.

1059 In the third set of the experiments, we focused on interspecific genomic comparisons  
1060 between *Lebiasina* species. Male and female-derived genomic probes from *L. bimaculata* and

1061 *L. melanoguttata* were hybridized together onto male and female chromosomal backgrounds of  
1062 *L. bimaculata*. For this purpose, the gDNA of males and females of *L. melanoguttata* and *L.*  
1063 *bimaculata* was labelled with Spectrum Green-dUTP and Spectrum Orange-dUTP,  
1064 respectively, using the Nick-Translation kit (Roche, Manheim, Germany). The final probe  
1065 cocktail was composed of 500 ng of male or female-derived gDNA of *L. melanoguttata*, 500  
1066 ng of male or female-derived DNA of *L. bimaculata*, and 15 µg of female-derived C0t-1 DNA  
1067 from each species diluted in the hybridization buffer described above.

1068 Finally, the fourth assay was focused on interfamily genomic comparisons. Female-derived  
1069 genomic probes from both *L. bimaculata* and *B. lateristriga* (Ctenoluciidae) were hybridized  
1070 together onto female chromosomes of *L. bimaculata*. For this purpose, female gDNA of *L.*  
1071 *bimaculata* and *B. lateristriga* was labeled with Spectrum Green-dUTP and Spectrum Orange-  
1072 dUTP, respectively, using the Nick-Translation mix kit (Roche, Manheim, Germany). The final  
1073 probe cocktail was composed of 500 ng of female-derived gDNA of *L. bimaculata*, 500 ng of  
1074 female-derived DNA of *B. lateristriga*, and 15 µg of female-derived C0t-1 DNA of each  
1075 species, diluted in the hybridization buffer described above.

1076 The hybridization experiments were performed according to (Symonová *et al.*, 2013).

1077

1078 *Whole Chromosome Painting (WCP)*

1079 For cross-species painting, we selected the first chromosome pair from the *L. bimaculata*  
1080 and *B. lateristriga* complement, as they unambiguously represent the largest element in the  
1081 karyotypes. This allowed us to precisely identify both homologues after Giemsa staining.  
1082 Sixteen copies of the first chromosome pair (pair 1) of *B. lateristriga* and *L. bimaculata* were  
1083 isolated by glass-based microdissection and amplified using the procedure described in (Yang  
1084 *et al.*, 2009). The probes were referred to as BOU-1 and LEB-1 and they were labeled with

1085 Spectrum Green-dUTP and Spectrum-Orange-dUTP (Vysis, Downers Grove, IL, USA),  
1086 respectively, in a secondary Degenerate Oligonucleotide-Primed Polymerase Chain Reaction  
1087 (DOP PCR) using 1  $\mu$ L of the primarily amplified product as template DNA (Yang *et al.*, 2009).  
1088 Chromosomal preparations from *L. bimaculata* and *L. melanoguttata* females were used for  
1089 Zoo-FISH experiments and the following hybridization procedures (Yano *et al.*, 2017).

1090 *Analyses*

1091 At least 30 metaphase spreads per individual were analyzed to confirm the 2n number,  
1092 karyotype structure, and FISH results. Images were captured using an Olympus BX50  
1093 microscope (Olympus Corporation, Ishikawa, Japan) with CoolSNAP and processed using  
1094 Image Pro Plus 4.1 software (Media Cybernetics, Silver Spring, MD, USA). Chromosomes  
1095 were classified as metacentric (m) or submetacentric (sm), according to their arm ratios (Levan  
1096 *et al.*, 1964).

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1109     **Conclusions**

1110       This study provides the first chromosomal data for *Lebiasina* species, allowing for the  
1111       investigation of the karyoevolutionary process between two *Lebiasina* species and their  
1112       relationships, as well as their relationship with other Lebiasinidae species and with other fish  
1113       families. The particular chromosomal characteristics that differ in both *Lebiasina* species at the  
1114       inner chromosomal organization level clearly show that similarities shared in their karyotype  
1115       macrostructures were, in fact, followed by a remarkable intra-genomic variation during their  
1116       evolutionary history. Furthermore, considering both the basal condition of *Lebiasina* and the  
1117       overall chromosomal data for other Lebiasinidae genera, it is likely that huge chromosomal  
1118       rearrangements, both in number as well as in morphology, have occurred during the  
1119       diversification of this family. Furthermore, our results indicate a close evolutionary relationship  
1120       between Lebiasinidae and Ctenoluciidae, as previously proposed by some molecular and  
1121       morphological phylogenies. Particularly noteworthy is the heteromorphic condition presented  
1122       by *L. bimaculata* females on the third chromosome pair of the karyotype, a feature that is  
1123       similarly found among males of *Boulengerella lateristriga* (Characiformes, Ctenoluciidae).  
1124       Such similarity suggests a copy number variation that could probably lead to evolutionary  
1125       processes of sex chromosomes in both families, however, this deserves further investigation.

## Capítulo 2

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1128

### Understanding the chromosomal evolution of Lebiasinidae fishes

1129

(Teleostei, Characiformes)

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Francisco de Menezes Cavalcante Sassi, Terumi Hatanaka, Renata Luiza Rosa de Moraes, Ezequiel Aguiar de Oliveira, Gustavo Akira Toma, Luiz Antonio Carlos Bertollo, Alexandre Sember, José Francisco de Sousa e Souza, Patrik F. Viana, Eliana Feldberg, Mauro Nirchio, Manoela Maria Ferreira Marinho, Orlando Moreira Filho, Marcelo de Bello Cioffi.

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Revista a ser submetido: **Genes (MDPI)**

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1138

#### Abstract

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We present comparative genomic hybridization experiments in several Lebiasinidae species and a revision of the distribution of ribosomal sequences among them. By that, eleven species of several Brazilian rivers were analyzed. The mitotic chromosomes were obtained by the classical air-drying technique. Schematic representations of the rDNA 18S and 5S loci were designed. Ten sets of experiments were developed in order to hybridize genomic DNA of *Copeina*, *Copella*, *Nannostomus* and *Pyrrhulina* species in metaphase plates of *Lebiasina melanoguttata*. Our experiments revealed two major pathways on chromosomal evolution of these species: i) conservation of 2n=36 bi-armed chromosomes in Lebiasininae, as a basal condition, and ii) high numeric and structural chromosomal rearrangements in Pyrrhulininae, with a notable tendency towards acrocentrization. The ribosomal DNA distribution also revealed a marked differentiation during the chromosomal evolution of Lebiasinidae, since both single and multiple sites, in addition to a wide range of chromosomal locations can be found. With some few exceptions, the terminal position of 18S rDNA on chromosomes appears as a common feature in Lebiasinidae analyzed species. Altogether with Ctenoluciidae, this pattern can be considered a symplesiomorphism for both families. In addition to the specific repetitive DNA content that characterizes the genome of each particular species, *Lebiasina* also keeps inter-specific repetitive sequences, thus reinforcing its proposed basal condition within Lebiasinidae.

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1158     **Introduction**

1159         Advanced molecular approaches have been widely applied in cytogenetic studies of  
1160         several animal groups, providing useful insights about their karyotype and genome evolution.  
1161         However, although in fish such procedures have also improved investigations as a whole,  
1162         chromosomal analysis of several taxa are still incipient. Obtaining good metaphases plates, both  
1163         in quantity and quality, stands out as the reason for such deficit, mainly for small-sized fishes.  
1164         Thus, dealing with chromosomes of miniature species, which reach a maximum length of 26  
1165         mm (Weitzman and Vari, 1988), is a challenge, but also a possible procedure (Moraes *et al.*,  
1166         2017; Sousa e Souza *et al.*, 2017; Moraes *et al.*, 2019; Sassi *et al.*, 2019; Toma *et al.*, 2019).

1167         Lebiasinidae is a freshwater fish family comprising about 75 valid species, distributed  
1168         throughout Central and South America, except Chile, which experienced a body miniaturization  
1169         along their evolution (Weitzman and Vari, 1988; Fricke *et al.*, 2019). Netto-Ferreira (2010),  
1170         based on morphological characters, recognized two distinguishable subfamilies: i) Lebiasininae  
1171         comprising the *Lebiasina* and *Piabucina* genera and ii) Pyrrhulininae including the *Copeina*,  
1172         *Copella*, *Derhamia*, *Nannostomus* and *Pyrrhulina* genera.

1173         The phylogenetic position of Lebiasinidae in relation to other Characiformes groups  
1174         have been quite discussed (Oyakawa, 1997; Ortí & Meyer, 1997; Buckup, 1998; Calcagnotto  
1175         *et al.*, 2005), but without a definitive conclusion. Recent analyses based on molecular data show  
1176         that Ctenoluciidae emerges as the sister group of Lebiasinidae (Oliveira *et al.*, 2011; Arcila *et*  
1177         *al.*, 2017; Betancur-R *et al.*, 2019). This proposal was also corroborated by cytogenetic  
1178         approaches (Sassi *et al.*, 2019), where whole chromosome painting (WCP) experiments with  
1179         probes from the first chromosome pair of *Lebiasina bimaculata* (Lebiasinidae) and  
1180         *Boulengerella lateristriga* (Ctenoluciidae) revealed a complete homology between them, a fact  
1181         also extended to other Ctenoluciidae species. Additionally, the comparative genomic analysis  
1182         (CGH) experiment showed co-localized scattered signals on *L. bimaculata* and *B. lateristriga*

1183 chromosomes, indicating that shared syntenic regions remained conserved during the  
1184 evolutionary process of these groups (Sassi *et al.*, 2019).

1185 *Lebiasina* (Lebiasininae) is one of the most unexplored Lebiasinidae genera, with no  
1186 cytogenetic data until a report provided by Sassi *et al.* (2019). It is considered a basal group  
1187 concerning the other lebiasinids, with morphological (Netto-Ferreira, 2010) and cytogenetic  
1188 (Sassi *et al.*, 2019) features corroborating such position. This makes *Lebiasina* an interesting  
1189 group for evolutionary studies. In fact, basal clades are important links making possible useful  
1190 comparisons at intra- and interfamilial levels. For such purposes, the CGH is a helpful  
1191 methodology that have improved the evolutionary cytogenetic by comparing entire genomes.  
1192 Although initially developed to suit clinical approaches (Kallioniemi *et al.*, 1992), CGH is now  
1193 successfully used to trace evolutionary trends among different biological groups. Among fishes,  
1194 distinctive evolutionary processes (including the differentiation of sex chromosomes) have  
1195 been highlighted among different species using this useful procedure (Yano *et al.*, 2016;  
1196 Oliveira and Sember *et al.*, 2017; Moraes *et al.*, 2019; Sassi *et al.*, 2019).

1197 This study is part of a series focusing in the chromosomal evolution of the Lebiasinidae  
1198 family. Here, it was used CGH experiments for hybridizing genomes of several lebiasinid  
1199 species, and revised the distribution of ribosomal sequences among them, thus providing  
1200 additional insights on the chromosomal evolution of this family.

1201

1202 **Material and Methods**

1203 **Samples**

1204 Eleven Lebiasinidae species from several Brazilian rivers were analyzed (**Figure 1;**  
1205 **Table 1**). Collection had authorization from Brazilian Environmental Agencies:  
1206 ICMBIO/SISBIO (License number 48628-2) and SISGEN (A96FF09). Specimens were  
1207 identified and deposited in the Museu de Zoologia da Universidade de São Paulo (MZUSP),  
1208 under the voucher numbers 119077, 119079, 123073, 123077, 123080, 124457, 124625,  
1209 124915.

1210

1211 **Chromosomes and Ideograms**

1212 Mitotic chromosomes were obtained by the classical air-drying technique (Bertollo *et al.*,  
1213 2015) from kidney cells. All experiments followed the ethical/anesthesia conducts and were  
1214 approved by the Ethics Committee on Animal Experimentation of the Universidade Federal de  
1215 São Carlos (Process number CEUA 1853260315). Schematic representations, aiming an  
1216 overview of chromosomal distribution of the 5S and 18S rDNA sequences in Ctenoluciidae and  
1217 Lebiasinidae, were organized under the Adobe Photoshop CC 2015, according the data from  
1218 Sousa e Souza *et al.* (2017), Moraes *et al.* (2017; 2019), Sassi *et al.* (2019), Toma *et al.* (2019)  
1219 and Sember *et al.* (2019).

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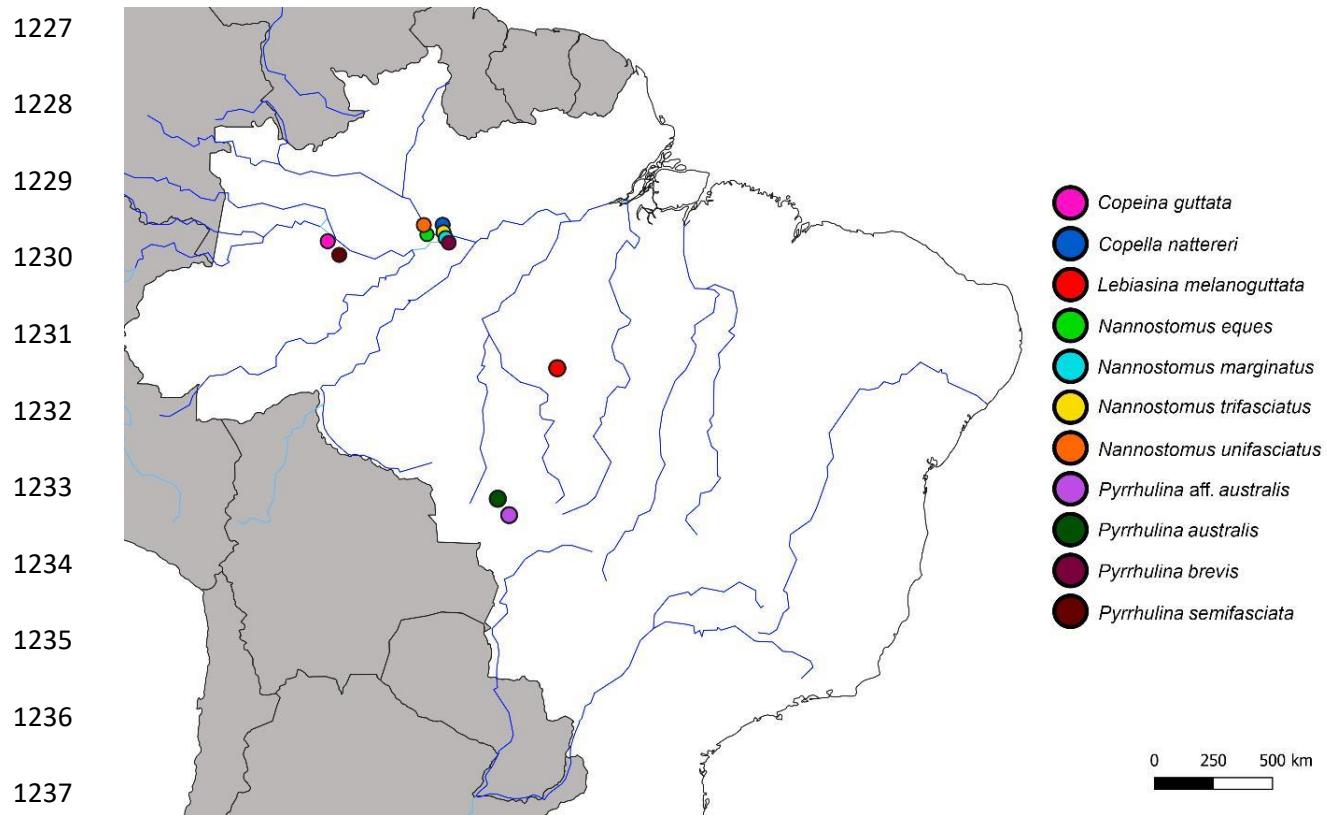
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1238 **Figure 1.** Partial South America map highlighting the Brazilian collection sites of *Copeina*  
1239 *guttata*, *Copella nattereri*, *Lebiasina melanoguttata*, *Nannostomus eques*, *N. marginatus*, *N.*  
1240 *trifasciatus*, *N. unifasciatus*, *Pyrrhulina australis*, *Pyrrhulina aff. australis*, *P. brevis* and *P.*  
1241 *semifasciata*. The map was produced using the softwares QGis 3.4.4, Inkscape 0.92, and  
1242 Photoshop CC 2015.

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1252 **Table 1.** Collection sites and sample sizes (*N*) of the analyzed species.

<b>Species</b>	<b>Locality</b>	<b>N</b>
<i>Copeina guttata</i>	Tefé – AM (Brazil) (S03°23'07.7", W64°46'43.7")	11♀; 06♂
<i>Copella nattereri</i>	Manaus – AM (Brazil) (S02°35'42.9", W60°02'23.8")	04♀; 06♂
<i>Lebiasina melanoguttata</i>	Cachoeira da Serra - PA (Brazil) (S08°58'18.7", W54°58'18.7")	22♀; 14♂
<i>Nannostomus eques</i>	Manaus – AM (Brazil) (S02°47'58.1", W60°29'19.8")	02♀; 02♂
<i>N. marginatus</i>	Manaus – AM (Brazil) (S02°55'53.9", W59°58'30.7")	03♀; 05♂
<i>N. trifasciatus</i>	Manaus – AM (Brazil) (S02°44'59.6", W60°01'37.9")	07♀; 12♂
<i>N. unifasciatus</i>	Manaus – AM (Brazil) (S02°47'58.1", W60°29'19.8")	05♀; 07♂
<i>Pyrrhulina australis</i>	Santo Afonso – MT (Brazil) (S14°27'25.2", W57°34'35.2")	30♀; 18♂
<i>Pyrrhulina aff. australis</i>	Barra do Bugres – MT (Brazil) (S15°04'27.5", W57°11'05.4")	22♀; 16♂
<i>P. brevis</i>	Manaus – AM (Brazil) (S02°55'53.9", W59°58'30.7")	13♀; 17♂
<i>P. semifasciata</i>	Tefé – AM (Brazil) (S3°39'45.8", W64°35'33.3")	07♀; 12♂

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1254 **Probes for Comparative Genomic Hybridization (CGH)**

1255 Ten sets of experiments were developed in order to hybridize the genomic DNA (gDNA)  
 1256 of *Copeina*, *Copella*, *Nannostomus* and *Pyrrhulina* species in metaphase plates of *Lebiasina*  
 1257 *melanoguttata*. For this purpose, the female-derived gDNA of *L. melanoguttata*, *C. guttata*, *C.*  
 1258 *nattereri*, *P. australis*, *Pyrrhulina aff. australis*, *P. brevis*, *P. semifasciata*, *N. eques*, *N.*  
 1259 *marginatus*, *N. trifasciatus* and *N. unifasciatus* were extracted from liver tissues by a standard  
 1260 phenol-chloroform-isoamyl alcohol method (Sambrook and Russell, 2001). For all assays, the  
 1261 female-derived gDNA of *L. melanoguttata* was directly labeled with Atto488 (green  
 1262 fluorescence) using the Nick-translation labeling kit (Jena Bioscience, Jena, Germany), while  
 1263 the gDNA of *C. guttata*, *C. nattereri*, *P. australis*, *Pyrrhulina aff. australis*, *P. brevis*, *P.*  
 1264 *semifasciata*, *N. eques*, *N. marginatus*, *N. trifasciatus* and *N. unifasciatus* were direct labeled

1265 with Atto550 (red fluorescence) also using the Nick-translation labeling kit (Jena Bioscience,  
1266 Jena, Germany). The final hybridization mixtures contained 500 ng of *L. melanoguttata* gDNA  
1267 plus 500 ng of gDNA from one of the above described species. In all experiments repetitive  
1268 sequences were blocked using 25 µg of C<sub>0</sub>t-1 DNA, prepared according to Zwick *et al.* (1997),  
1269 and dissolved in 20 µl of the hybridization buffer (50% formamide, 2x SSC, 10% SDS, 10%  
1270 dextran sulfate and Denhardt's buffer, pH 7.0).

1271

### 1272 **Fluorescence *in situ* Hybridization (FISH) for CGH**

1273 CGH experiments were performed following the protocol of Symonová *et al.* (2015). Slides  
1274 were first aged for 1 to 2 hours at 60 °C and then treated with RNase A (20 µg/ml; 90 min at 37  
1275 °C in a wet chamber), and pepsin (50 µg/ml; 3 min at 37 °C). Chromosomes were denatured in  
1276 75% formamide diluted in 2x SSC at 74 °C for 3 min. At the same time, the probes were also  
1277 denatured at 86 °C for 10 min and chilled on ice for 10 min. Then, the hybridization mix was  
1278 applied to the slides, followed by a three-days incubation in a wet chamber (37 °C). The non-  
1279 specific hybridization was removed by a stringent washing at 44 °C, two washes in 50%  
1280 formamide/2x SSC (10 min each), three washes in 1x SSC (7 min each), and a final wash in  
1281 2x SSC at room temperature. Chromosomes were counterstained with DAPI (1.2 µg/ml) and  
1282 mounted in an antifade solution (Vector, Burlingame, CA, USA).

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1285 **Results**

1286 *Chromosomal distribution of the ribosomal sequences among Lebiasinidae and Ctenoluciidae*  
1287 *species*

1288        *Boulengerella* (Ctenoluciidae) species (**Figure 2a**) have 5S rDNA sites located in the  
1289 terminal and pericentromeric regions of the first and the tenth chromosome pairs, respectively.  
1290 The only exception for this pattern occurs in *B. lucius*, which has the fourth chromosome pair,  
1291 instead of the tenth one, bearing these sites. Concerning the 18S rDNA it is found only in the  
1292 telomeric region of the 18<sup>th</sup> pair of all *Boulengerella* species (Souza e Sousa *et al.*, 2017).

1293        *Nannostomus* species (**Figure 2d**), exhibit 5S rDNA sequences in only one chromosome  
1294 pair, although with a marked variation in position, i.e. (1) telomeric region of the short arms of  
1295 the pairs 03 of *N. eques* and 04 of *N. marginatus*, (2) proximal region of the long arms of the  
1296 pair 07 of *N. unifasciatus*, and (3) telomeric region of the pair 18 of *N. beckfordi*. In its turn,  
1297 the 18S rDNA sites have a more varied distribution both in number and location among species:  
1298 (1) one signal in the telomeric region of the short arms of the 2<sup>nd</sup> chromosome pair of *N.*  
1299 *beckfordi*, (2) two signals, both in the interstitial region of the long arms of the 2<sup>nd</sup> pair of *N.*  
1300 *unifasciatus*, (3) one signal in the telomeric region of the short arms of the chromosomes 02  
1301 and 18 in *N. eques* and (4) one telomeric signal in the short arms of the pair 03 of *N. marginatus*,  
1302 with an additional pericentromeric signal in the long arms of the pair 19 (Sember *et al.*, 2019).

1303        *Lebiasina* species (**Figure 2c**) also have distinct patterns of ribosomal DNA  
1304 distribution. The Ecuadorian species, *L. bimaculata*, presents 5S sites in the interstitial position  
1305 of the first chromosome pair and 18S sites in the telomeric region of the pair 03. On the other  
1306 hand, the Brazilian species, *L. melanoguttata*, presents multiple 18S sites, being 12 telomeric  
1307 ones comprising the chromosome pairs 01, 02, 03, 07 and 09, but also including bi-telomeric  
1308 sites in the pair 02. The 5S rDNA sequences occur in the proximal region of the long arms of  
1309 the chromosome 01, thus showing a syntenic distribution with the 18S rDNA, and also in the  
1310 short arms of the pair 13 (Sassi *et al.*, 2019).

1311           *Copeina guttata* (**Figure 2b**), presents 5S rDNA marks in the proximal region of the  
1312 long arms of the second chromosome pair, and also in the short arms of the 15<sup>th</sup> one. On other  
1313 hand, the 18S rDNA has a single distribution, being located in the short arms of the pair 04  
1314 (Toma *et al.*, 2019.)

1315           *Pyrrhulina* species have a most diversified pattern of ribosomal distribution than the  
1316 other Lebiasinidae, with multiple 5S and 18S rDNA sites (**Figure 2e**). In *P. semifasciata*, the  
1317 short arms of the pairs 07, 08, 09, 15 and 21 possess 5S rDNA sequences, while the 18S rDNA  
1318 ones are located in the chromosomes 01, 03, 06 and 11. Likewise, *P. brevis* also presents five  
1319 chromosomes with 5S rDNA sequences in their short arms (pairs 03, 07, 08, 10 and 14). In the  
1320 7th pair, an additional interstitial signal occurs on the long arms, as well as in the chromosome  
1321 10 but in the proximal region. Synthenia occurs in both pairs 03 and 14, where 5S and 18S  
1322 rDNA sequences are shared in the short arms, in addition to pair 11 bearing only 18S sites. In  
1323 *P. australis*, 18S rDNA is found in the short arms of the pairs 01, 06, 11 and 19, in both  
1324 telomeric regions of the pair 04, and also in this same region of the long arms of the pair 07.  
1325 Colocalized 5S and 18S sequences occur in the short arms of the pair 14, in addition to 5S sites  
1326 in the short arms of the chromosomes 03, 07, 08, 09, 10, 15 and 16. *P. aff. australis* presents  
1327 four chromosomes with 5S rDNA (pairs 03, 07, 15 and 16) in their short arms. 18S sequences  
1328 also occur in the 7<sup>th</sup> pair, but in the telomeric region of the long arms, besides an additional site  
1329 in the short arms of the pair 06 (Moraes *et al.*, 2017, 2019).

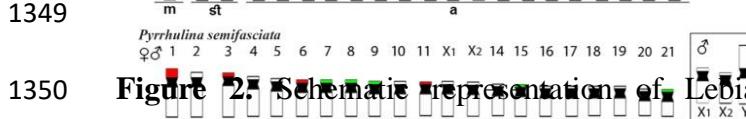
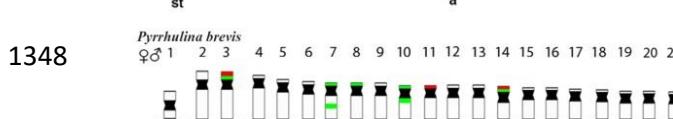
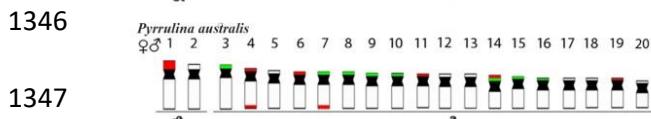
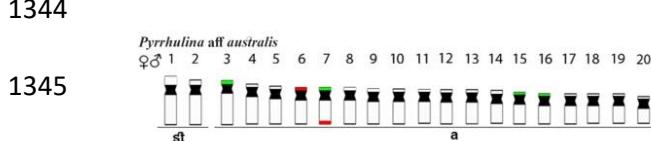
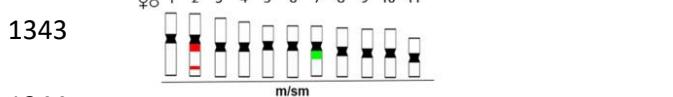
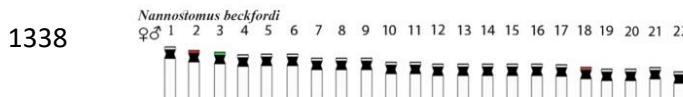
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1331           **Comparative Genomic Hybridization (CGH)**

1332           CGH experiments revealed that a significant level of genomic divergence occurs among  
1333 *L. melanoguttata* and the other lebiasinid species (**Figures 3-5**) In fact, a high level of species-  
1334 specific genomic compartmentalization stood out, with distinct patterns of repetitive DNA

1335 sequences both in amount and distribution in the chromosomes. In addition, some inter-specific  
 1336 segments of repetitive DNAs were also highlighted as shared among species.

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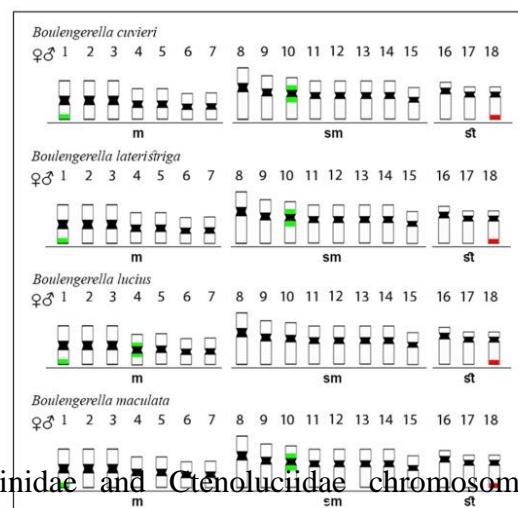
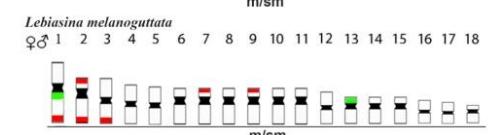
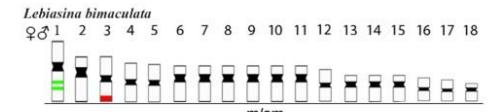
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Figure 2. Schematic representations of Lebiasinidae and Ctenoluciidae chromosomes, highlighting the position of 5S rDNA (green) and 18S rDNA (red). The box highlights a sex chromosome system in *Pyrrhulina semifasciata*. FISH data were taken from de Sousa e Souza et al. (2017); Moraes et al. (2017; 2019), Sassi et al. (2019), Toma et al. (in prep) and Sember et al. (in prep).



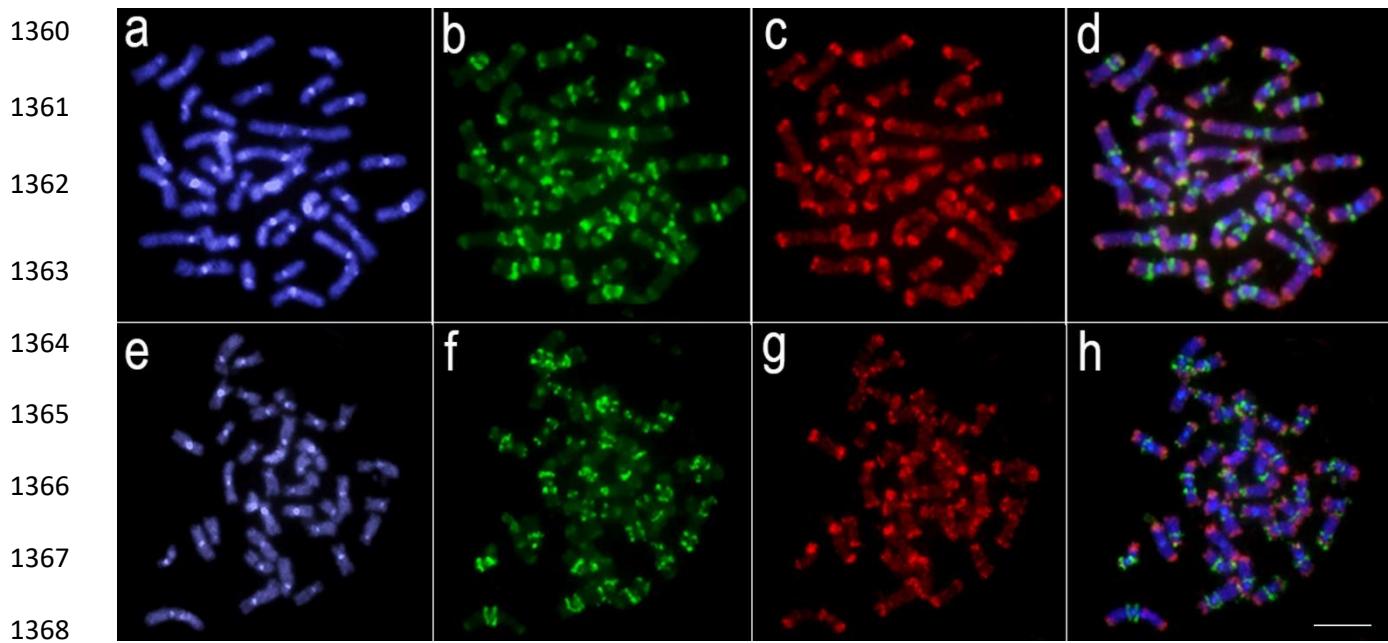
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**Figure 3.** Comparative genomic hybridization using the gDNA of *Lebiasina melanoguttata*, *Copeina guttata* and *Copella nattereri* against chromosomal background of *Lebiasina melanoguttata*. Genomic probes from *L. melanoguttata* and *Copeina guttata* hybridized against *L. melanoguttata* chromosomes (**a – d**). Genomic probes from *L. melanoguttata* and *Copella nattereri* hybridized against *L. melanoguttata* chromosomes (**e – h**). First column (**a** and **e**): DAPI images (blue); second column (**b** and **f**): hybridization patterns using gDNA probe from *L. melanoguttata*; third column (**c** and **g**): hybridization patterns using gDNA probes from *Copeina guttata* and *Copella nattereri*, respectively; fourth column (**d** and **h**) merged images of both genomic probes and DAPI staining depicting the common regions in yellow. Bar = 5  $\mu$ m.

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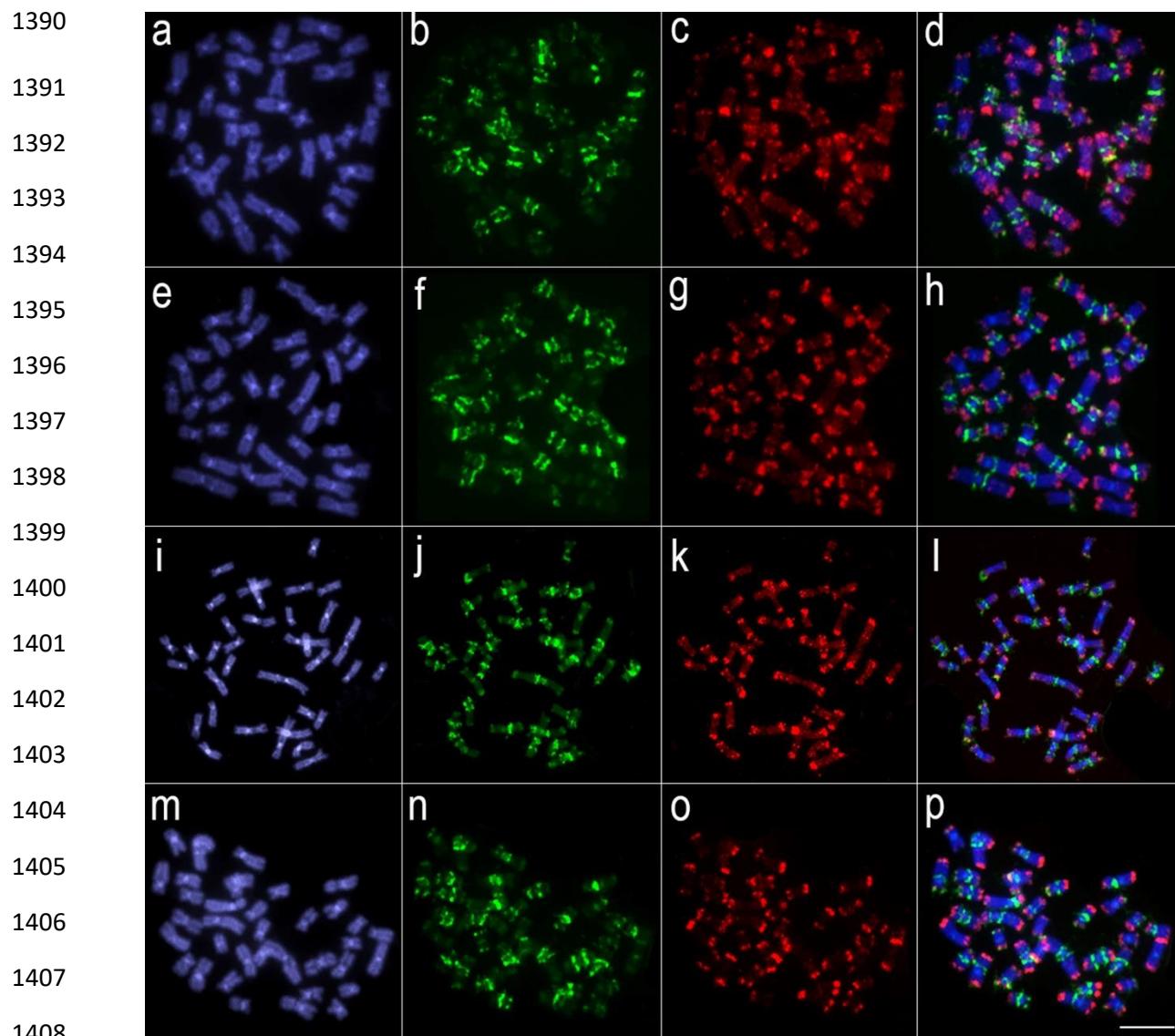
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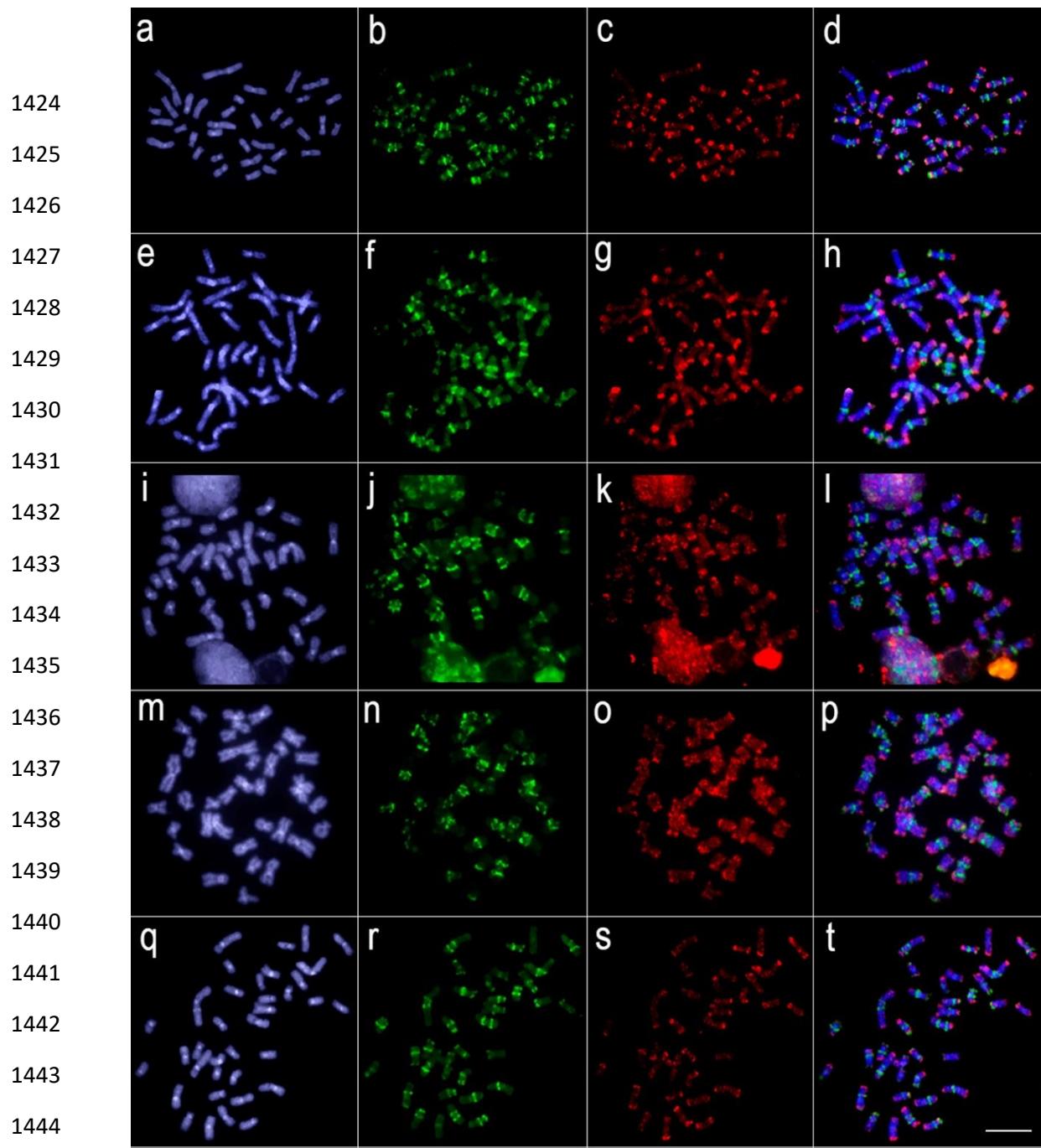


1409 **Figure 4.** Comparative genomic hybridization using the gDNA of *Lebiasina melanoguttata* and  
 1410 *Pyrrhulina* species against chromosomal background of *Lebiasina melanoguttata*. Genomic  
 1411 probes from *L. melanoguttata* and *P. australis* hybridized against *L. melanoguttata*  
 1412 chromosomes (**a – d**). Genomic probes from *L. melanoguttata* and *Pyrrhulina* aff. *australis*  
 1413 hybridized against *L. melanoguttata* chromosomes (**e – h**). Genomic probes from *L.*  
 1414 *melanoguttata* and *P. brevis* hybridized against *L. melanoguttata* chromosomes (**i – l**). Genome  
 1415 from *L. melanoguttata* and *P. semifasciata* hybridized against *L. melanoguttata* chromosomes  
 1416 (**m – p**). First column (**a, e, i** and **m**): DAPI images (blue); second column (**b, f, j** and **n**):  
 1417 hybridization patterns using gDNA probe from *L. melanoguttata*; third column (**c, g, k** and **o**):  
 1418 hybridization patterns using gDNA probes from *P. australis*, *Pyrrhulina* aff. *australis*, *P. brevis*  
 1419 and *P. semifasciata*, respectively; fourth column (**d, h, l** and **p**) merged images of both genomic  
 1420 probes and DAPI staining depicting the shared regions in yellow. Bar = 5  $\mu$ m.

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1445 **Figure 5.** Comparative genomic hybridization among *Lebiasina melanoguttata* and  
 1446 *Nannostomus* species. Genomic probes from *L. melanoguttata* and *N. unifasciatus* hybridized  
 1447 against *L. melanoguttata* chromosomes (**a – d**). Genomic probes from *L. melanoguttata* and *N.*  
 1448 *trifasciatus* hybridized against *L. melanoguttata* chromosomes (**e – h**). Genomic probes from  
 1449 *L. melanoguttata* and *N. beckfordi* hybridized against *L. melanoguttata* chromosomes (**i – l**).  
 1450 Genomic probes from *L. melanoguttata* and *N. eques* hybridized against *L. melanoguttata*  
 1451 chromosomes (**m – p**). Genomic probes from *L. melanoguttata* and *N. marginatus* hybridized  
 1452 against *L. melanoguttata* chromosomes (**q – t**). First column (**a, e, i, m and q**): DAPI images  
 1453 (blue); second column (**b, f, j, n and r**): hybridization patterns using gDNA probe from *L.*  
 1454 *melanoguttata*; third column (**c, g, k, o and s**): hybridization patterns using gDNA probes from  
 1455 *N. unifasciatus*, *N. trifasciatus*, *N. beckfordi*, *N. eques* and *N. marginatus*, respectively; fourth  
 1456 column (**d, h, l, p and t**) merged images of both genomic probes and DAPI staining depicting  
 1457 the shared regions in yellow. Bar = 5  $\mu$ m.

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1459     **Discussion**

1460     *Evolutionary trends in the Lebiasinidae family*

1461         Huge chromosomal rearrangements both in number and morphology, which may be  
1462         probably linked to speciation processes, took place during the diversification of the  
1463         Lebiasinidae family. Altogether, two major pathways can be recognized on chromosomal  
1464         evolution of lebiasinids: i) conservation of  $2n=36$  bi-armed chromosomes in the Lebiasininae  
1465         family as a basal condition, in accordance the scenario found in the putative sister family  
1466         Ctenoluciidae; ii) high numeric and structural chromosomal rearrangements in the  
1467         Pyrrhulininae subfamily, with a notable tendency towards acrocentrization (**Figure 2**). These  
1468         findings fit with some proposals stating that several derived fish clades predominantly present  
1469         mono-armed chromosomes while basal ones have more bi-armed chromosomes (Nirchio *et al.*,  
1470         2014).

1471         Teleost fishes display varied arrangements of chromosomal evolution. It is noteworthy,  
1472         for example, that several Characiformes groups have a somewhat conserved karyotype  
1473         organization pattern, maintaining the diploid number around  $2n=54$  and a relatively similar  
1474         chromosomal morphology. This is the case for Anostomidae, Curimatidae, Prochilodontidae,  
1475         Hemiodontidae and Chilodontidae (Arai, 2011), and also for some families belonging to  
1476         Perciformes (Galetti *et al.*, 2000). Such characteristics could be related to a karyotypic  
1477         orthoselection (White, 1973), leading to a bi-armed chromosomes conservation. However,  
1478         rapid and recent speciation events can also create conserved karyotypes (Sola *et al.*, 1981), a  
1479         fact that cannot be ruled out for the Lebiasinidae family, since it's only phylogenetic analysis  
1480         does not make reference to divergence time (Netto-Ferreira, 2010). Indeed, the Lebiasininae  
1481         subfamily presents a conserved karyotype macrostructure, although with interspecific genomic  
1482         divergences (Sassi *et al.*, 2019). In its turn, other fish groups show marked divergences in their  
1483         karyotype structure as, for example, the Erythrinidae (Bertollo, 2007; Cioffi *et al.*, 2012) and  
1484         Characidae in the Characiformes (Arai, 2011), and the Loricariidae in Siluriformes (Artoni and

1485 Bertollo, 1996; Giuliano-Caetano, 1998; Kavalco *et al.*, 2005). Notably, both trends are present  
1486 in the Lebiasinidae family, thus differentiating the evolutionary pathways followed by the  
1487 Lebiasininae and Pyrrhulininae subfamilies.

1488 The divergent evolutionary pathways between Lebiasininae and Pyrrhulininae are also  
1489 pointed out by CGH experiments, where sequences of repetitive DNAs hybridize in different  
1490 loci among species, thus pointing to a high degree of genomic divergence among them. It is  
1491 noteworthy that divergent patterns of hybridization occur even among closely related species,  
1492 such as between *L. bimaculata* and *L. melanoguttata* (Sassi *et al.*, 2019), and *P. semifasciata*  
1493 and *P. brevis* (Moraes *et al.*, 2019), revealing species-specific CGH signals. Concerning  
1494 *Lebiasina*, this is a somewhat expected feature, since *L. melanoguttata* is highly endemic in the  
1495 Brazilian Serra do Cachimbo, remaining isolated from several other Lebiasinidae species for a  
1496 distance of 1500 km (Goulding *et al.*, 2003; Netto-Ferreira, 2012). The presence of two other  
1497 *Lebiasina* species (*L. marilynae* and *L. minuta*) in this same isolated area suggests the  
1498 occurrence allopatric speciation events (Netto-Ferreira, 2012), favoring the emergence of  
1499 different patterns of genomic organization. However, in addition of such general genomic  
1500 divergence, it is also evident that inter-specific hybridization of repetitive sequences still occurs  
1501 in *Lebiasina* chromosomes, in this way supporting the proposal of its proposed basal position  
1502 in the Lebiasinidae family (Arcila *et al.*, 2017; Betancur-R *et al.*, 2018).

1503 The ribosomal DNA distribution is also a characteristic that experienced a marked  
1504 differentiation during the chromosomal evolution of the Lebiasinidae. In fact, our review  
1505 evidences that these sequences can be distributed since a single site in the karyotype (i.e.  
1506 *Lebiasina bimaculata*) to multiple ones (i.e. *Pyrrhulina australis*), in addition to a wide range  
1507 of chromosomal locations. The evolution of rDNA sequences follows the concept of concerted  
1508 evolution, maintaining the functionality and homogeneity of these genes (Zimmer *et al.*, 1980;  
1509 Dover, 1982). However, since homologous and non-homologous recombination are processes

that mediates the concerted evolution, it is possible that unequal sister chromatid recombination or retrotransposition lead to favour a copy number variation of such sequences (Roy *et al.*, 2005; Symonová *et al.*, 2015; Sochorová *et al.*, 2018; Wang *et al.*, 2017). Indeed, this copy number variation can generate some non-transcribed rDNA copies, that have an extreme importance on genome integrity (Kobayashi, 2014). In fishes, copy number variation of ribosomal DNAs are highly reported, since their gene regulation processes seems to be more relaxed than in higher vertebrates (Symonová and Howell, 2018). In its turn, it is meaningful that Ctenoluciidae fishes present a conserved pattern of rDNA distribution. In this family a single site of 18S rDNA is found in all species, with a single exception for *B. lucius* (Souza e Sousa *et al.*, 2018). Since the basal *Lebiasina* genus, shares this same single pattern, it is possible that this characteristic has arisen before the divergency of Lebiasinidae and Ctenoluciidae.

With some few exceptions, the chromosome terminal position of the 18S rDNA appears as a common feature for *Nannostomus*, *Pyrrhulina*, *Lebiasina* and *Copeina*. Altogether with Ctenoluciidae, this pattern can be considered a symplesiomorphism for both families. In fact, the terminal position of 45S rDNA is a common trait for several groups, including fish, in contrast to the 5S loci that appear to have a more frequent interstitial location along the chromosomes (Sochorová *et al.*, 2018). However, this later condition does not apply to Lebiasinidae and even Ctenoluciidae, where both terminal and interstitial positions are highlighted, but with a preferential location at the ends in *Nannostomus* and *Pyrrhulina*.

It is also noteworthy that *N. unifasciatus* and *P. brevis* exhibit particular arrangements of ribosomal DNAs. To some extent, this is an expected trait for *N. unifasciatus*, since this species has the lowest diploid number among Lebiasinidae fishes, with  $2n = 22$  and the karyotype probably shaped by Robertsonian fusions (Arefjev, 1990). In its turn, peri- and/or paracentric inversions appear to have had important role on the karyotype differentiation of *P.*

1535 *brevis* (Moraes *et al.*, 2019). In this sense, besides the action of possible transposable elements,  
1536 it is possible that rDNA sequences have been shift by such rearrangements during the karyotype  
1537 evolution. Furthermore, syntenic 5S and 18S sites occur in *L. melanoguttata* (pair 01), *P.*  
1538 *australis* (pairs 07 and 14), *P. aff. australis* (pair 07) and *P. brevis* (pairs 03 and 14). The co-  
1539 localization of both rDNA classes in the same chromosome may increase the recombination  
1540 frequency between them (Sochorová *et al.*, 2018), and, in association with heterochromatin,  
1541 may act as recombination hotspots (Salvadori *et al.*, 1995; Sola *et al.*, 2003; Gornung, 2013).

1542

1543 *Concluding remarks*

1544 The studies of Arcila *et al.* (2017), and Betancur-R *et al.* (2018), indicated the proximity  
1545 to the Lebiasinidae and Ctenoluciidae families, besides corroborating the monophilia of the two  
1546 lebiasinid subfamilies, Lebiasininae and Pyrrhulininae. Meaningly, conventional and molecular  
1547 cytogenetic data that have been progressively improved for miniature fishes, actually  
1548 corroborate and strengthen the proposed proximity relationship between Lebiasinidae and  
1549 Ctenoluciidae. Additionally, it is also notorious the evolutionary divergence that appears to  
1550 differentiate both Lebiasinidae subfamilies. In fact, the chromosomal diversity in Pyrrhulininae  
1551 hugely contrasts with the apparent conservatism in the Lebiasininae subfamily. Furthermore, in  
1552 addition to the specific repetitive DNA content that characterizes the genome of each particular  
1553 species, *Lebiasina* also keeps inter-specific repetitive sequences, thus reinforcing its proposed  
1554 basal condition within Lebiasinidae. Even keeping in mind that representative species of both  
1555 subfamilies still require further investigations, the results now available already provide  
1556 significant advances in understanding the chromosomal evolution of Lebiasinidae fish, a group  
1557 of the Neotropical Ichthyofauna previously lacking resolute cytogenetic investigations.

1558

1559     **V – CONSIDERAÇÕES FINAIS**

1560         A caracterização citogenética de espécies do gênero *Lebiasina*, ainda não estudadas,  
1561         permitiu inferir que duas rotas evolutivas diferenciais provavelmente ocorrem na família  
1562         Lebiasinidae, ou seja: i) conservação do número diploide  $2n = 36$  na subfamília Lebiasininae,  
1563         com cromossomos metacêntricos/submetacêntricos, caracterizando a provável condição  
1564         ancestral na família, em concordância com o que também ocorre na família Ctenoluciidae,  
1565         grupo irmão de Lebiasinidae; ii) acentuada diversidade numérica e estrutural, com relevante  
1566         acrocentrização na subfamília Pyrrhulininae. No que se refere especificamente às duas espécies  
1567         de *Lebiasina*, apesar de conservarem o mesmo número diploide, *Lebiasina bimaculata* e  
1568         *Lebiasina melanoguttata* mostram características extremamente divergentes quanto à  
1569         composição genômica, conforme evidenciado pelos experimentos de hibridização genômica  
1570         comparativa. Adicionalmente, destaca-se também a dispersão diferencial dos sítios de DNAs  
1571         ribossomais 5S e 18S em *L. melanoguttata*, assim como a presença de uma inversão  
1572         paracêntrica associada ao rDNA 5S, ressaltando as diferenciações ocorridas ao longo da história  
1573         evolutiva dessas espécies. É possível que alguns fatores possam estar propiciando o  
1574         espalhamento dessa classe de DNA ao longo do genoma como, por exemplo, a ocorrência de  
1575         sítios frágeis e um acúmulo do número de cópias gerado por crossing-over desigual. Contudo,  
1576         características cariotípicas diferenciais entre *L. melanoguttata* e *L. bimaculata* seriam mesmo  
1577         esperadas em virtude do isolamento geográfico entre essas espécies, possibilitando assim uma  
1578         evolução divergente entre elas pela ausência de fluxo gênico. Os dados ora obtidos, somado às  
1579         demais informações cromossômicas já disponíveis para os peixes lebiasinídeos, evidenciam  
1580         que esta família é marcada por intensos processos de rearranjos cromossômicos, o que pode ser  
1581         um fator associado aos processos de especiação nesse grupo. Particularmente em *L. bimaculata*  
1582         o padrão de heterocromatinização diferencial entre machos e fêmeas levanta a hipótese de um  
1583         possível sistema sexual do tipo ZZ/ZW nesta espécie. Significativamente, tal padrão se

1584 assemelha muito ao encontrado entre as espécies de *Boulengerella* (Characiformes,  
1585 Ctenoluciidae), demonstrando que processos como a variação no número de cópias gênicas  
1586 podem estar relacionados com eventos de diferenciação de cromossomos sexuais nestas  
1587 famílias relacionadas. Por sua vez, o compartilhamento completo do genoma do primeiro par  
1588 cromossômico entre espécies de Lebiasinidae e Ctenoluciidae corrobora a proximidade entre  
1589 estas duas famílias, reforçando assim as análises filogenéticas apresentadas com base em dados  
1590 moleculares.

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1602 VI – BIOGRAFIA

1603 Filho de jornalistas, nasceu no litoral paulista (Guarujá – SP), onde viveu até os 17 anos.  
1604 Ao longo desse tempo, trabalhou no Jornal do Guarujá, onde teve um contato mais próximo  
1605 com o jornalismo. No entanto, devido à um estágio no Acqua Mundo, o aquário de Guarujá,  
1606 descobriu sua grande paixão. No ano de 2014, ingressou no curso de bacharelado em Ciências  
1607 Biológicas na Universidade Federal de Viçosa, *Campus Rio Paranaíba* (UFV-CRP). Neste  
1608 mesmo ano, iniciou seus estudos e seu primeiro estágio, no Laboratório de Genética Ecológica  
1609 e Evolutiva (LaGEEvo), onde foi apresentado pela Dr. Karine Kavalco e pelo Dr. Rubens Pasa  
1610 à citogenética evolutiva, inicialmente auxiliando nos trabalhos de citogenética vegetal  
1611 (*Brassica napus*). Ao longo dos quatro anos de graduação, desenvolveu projetos com diversos  
1612 organismos (*Astyanax*, *Hoplias*, *Hypostomus*) na citogenética animal. Também atuou em  
1613 projetos de divulgação científica já existentes no laboratório (Biologia na Web, Rock com  
1614 Ciência, Folha Biológica), resgatando assim seu contato próximo com o jornalismo. Também  
1615 na UFV-CRP, participou da fundação e como Diretor Administrativo Financeiro no Centro  
1616 Acadêmico de Ciências Biológicas – CABio Colmeia (2017). Além disso, na mesma  
1617 instituição, atuou na composição dos materiais didáticos da UFV-CRP, desenvolvendo  
1618 atividades de taxidermia de pequenos e grandes vertebrados. Por fim, desenvolveu sua  
1619 monografia com morfometria geométrica em espécies do gênero *Hypostomus*, avaliando a  
1620 diversidade e configuração corporal desses animais na bacia do rio Paranaíba. Em 2018,  
1621 graduou-se em Ciências Biológicas, com ênfase em Conservação da Biodiversidade e retornou  
1622 ao estado de São Paulo, mais precisamente na cidade de São Carlos, onde ingressou no mestrado  
1623 em Genética Evolutiva e Biologia Molecular pela Universidade de São Carlos, sob orientação  
1624 do professor Dr. Luiz Antonio Carlos Bertollo. Ao longo do mestrado também desenvolveu  
1625 atividades de divulgação científica, nos projetos Poeira Estelar, Próxima Parada: Cerrado e  
1626 Rock com Ciência. Já no ano de 2019, passou a ser orientado pelo professor Dr. Marcelo de  
1627 Bello Cioffi, onde conclui a primeira etapa da pós-graduação.

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1631 **VII – REFERÊNCIAS BIBLIOGRÁFICAS**

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